

Uniform Terms and Conditions Design Professional

TABLE OF CONTENTS:

1.1.	Additional Work1
1.2.	Agency1
1.3.	Agency Designated Representative1
.4.	Allowance 1
.5.	Application for Progress Payment1
.6.	Application for Final Payment1
.7.	Arizona Procurement Code; A.R.S., A.A.C1
.8.	Arizona TPT 1
.9.	As-Builts1
.10.	Attachment
.11.	Basic Work
.12.	Bidding Documents
.13.	Building Work
.14.	Change Order
15.	Close Out Documents
.16.	Conditional Waiver of Lien
17.	Construction 2
18.	Construction Contract
19.	Construction Contractor 2
.20.	Construction Documents
21.	Contingency 2
22.	Contract
.22.	Contract Amendment
23.	Contract Cost
24.	Contract Cost
26. 27.	Contractor
28.	Cost
.29.	Cost of Work
.30.	Day
.31.	Design Change Notice
.32.	Design Professional
.33.	Design Professional Services
.34.	Design Professional Service Contract
.35.	Design Requirements 4
36.	Drawings4
.37.	Estimated Project Construction Cost4
38.	Excusable Delay
39.	Exhibit
.40.	Field Order Directive
.41.	Final Completion 4
.42.	Final Completion Certificate
.43.	Final Payment
.44.	General Conditions of Contractor
45.	Gratuity
46.	Hazardous Waste; Release
47.	Hazardous Substance
48.	Hazardous Waste
49.	Indemnified Basic Claims
50.	Instructions to Offerors
.51.	Materials
.52.	Negotiation
.52.	Negotiation
.53. .54.	Notice to Cure
.54. .55.	
	Offer and Acceptance Form
.56.	Part, Section; Exhibit
.57.	Payment Bond6

Uniform Terms and Conditions Design Professional Table of Contents Page 1 of 5



1.58.	Performance Bond
1.59.	Person
1.60.	Preconstruction Services
1.61.	Procurement Officer
1.62. 1.63.	eProcurement System (currently APP)
1.63.	Product Data
1.65.	Change Order Request
1.66.	Punch List
1.67.	Record Documents
1.68.	Request for Information
1.69.	Reimbursable Expenses
1.70.	Samples
1.71.	Schedule
1.72.	Schedule of Values
1.73.	Scope of Work
1.74.	Secure Locations
1.75.	Services
1.76.	Shop Drawings
1.77. 1.78.	Site
1.78.	Solicitation; Solicitation Documents
1.80.	Specification
1.81.	Specification
1.82.	State Indemnitees
1.83.	State Fiscal Year
1.84.	Stipulated Sum (Fixed Price/Lump Sum)
1.85.	Subconsultant
1.86.	Subcontract
1.87.	Subcontractor
1.88.	Submittal Register
1.89.	Substantial Completion
1.90.	Unconditional Waiver of Lien
1.91. 1.92.	Uniform Terms and Conditions
1.92.	Work
1.94.	
	Progress Schedule
	Progress Schedule
	Progress Schedule
2.0Co	ontract Interpretation
	ontract Interpretation
2.0Co 2.1	ontract Interpretation
2.0Co 2.1 2.2	Arizona Law
2.0Co 2.1 2.2 2.3	Arizona Law
2.0Co 2.1 2.2 2.3 2.4 2.5 2.6	Arizona Law
2.0Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7	Arizona Law
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8	Arizona Law
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7	Arizona Law
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9	ontract Interpretation 9 Arizona Law. 9 Contract Order of Precedence. 9 Implied Terms. 10 References to Statute. 10 Usage. 10 Independent Contractor 11 Severability. 11 Complete Integration 11 No Waiver of Rights 11
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co	ontract Interpretation 9 Arizona Law 9 Contract Order of Precedence 9 Implied Terms 10 References to Statute 10 Usage 10 Independent Contractor 11 Severability 11 Complete Integration 11 No Waiver of Rights 11 Destruct Administration and Operation 11
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1.	Ontract Interpretation 9 Arizona Law 9 Contract Order of Precedence 9 Implied Terms 10 References to Statute 10 Usage 10 Independent Contractor 11 Severability 11 Complete Integration 11 No Waiver of Rights 11 Destruct Administration and Operation 11 Term of Contract 11
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1. 3.2.	ontract Interpretation 9 Arizona Law 9 Contract Order of Precedence 9 Implied Terms 10 References to Statute 10 Usage 10 Independent Contractor 11 Severability 11 Complete Integration 11 No Waiver of Rights 11 Intract Administration and Operation 11 Term of Contract 11 Contract Extensions 11
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1. 3.2. 3.3.	Ontract Interpretation 9 Arizona Law. 9 Contract Order of Precedence. 9 Implied Terms. 10 References to Statute. 10 Usage. 10 Independent Contractor 11 Severability. 11 Complete Integration 11 No Waiver of Rights 11 Dontract Administration and Operation 11 Term of Contract 11 Notices and Correspondence 11
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1 3.2 3.3 3.4	Ontract Interpretation 9 Arizona Law 9 Contract Order of Precedence 9 Implied Terms 10 References to Statute 10 Usage 10 Independent Contractor 11 Severability 11 Complete Integration 11 No Waiver of Rights 11 Dentract Administration and Operation 11 Term of Contract 11 Contract Extensions 11 Notices and Correspondence 11 Contractor Performance Evaluation 12
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1. 3.2. 3.3. 3.4. 3.5.	Ontract Interpretation 9 Arizona Law 9 Contract Order of Precedence 9 Implied Terms 10 References to Statute 10 Usage 10 Independent Contractor 11 Severability 11 Complete Integration 11 No Waiver of Rights 11 Ontract Administration and Operation 11 Term of Contract 11 Contract Extensions 11 Notices and Correspondence 11 Contract Oreperformance Evaluation 12
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1 3.2 3.3 3.4 3.5 3.6	ontract Interpretation 9 Arizona Law 9 Contract Order of Precedence 9 Implied Terms 10 References to Statute 10 Usage 10 Independent Contractor 11 Severability 11 Complete Integration 11 No Waiver of Rights 11 Dentract Administration and Operation 11 Term of Contract 11 Contract Extensions 11 Notices and Correspondence 11 Contract Performance Evaluation 12 Signing of Contract Amendments 12
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1. 3.2. 3.3. 3.4. 3.5. 3.6. 3.7.	ontract Interpretation 9 Arizona Law 9 Contract Order of Precedence 9 Implied Terms 10 References to Statute 10 Usage 10 Independent Contractor 11 Severability 11 Complete Integration 11 No Waiver of Rights 11 Description 11 Contract Administration and Operation 11 Term of Contract 11 Notices and Correspondence 11 Notices and Correspondence 11 Contract Performance Evaluation 12 Signing of Contract Amendments 12 Click-Through Terms and Conditions 12
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1. 3.2. 3.3. 3.4. 3.5. 3.6. 3.7. 3.8.	ontract Interpretation 9 Arizona Law 9 Contract Order of Precedence 9 Implied Terms 10 References to Statute 10 Usage 10 Independent Contractor 11 Severability 11 Complete Integration 11 No Waiver of Rights 11 Ontract Administration and Operation 11 Term of Contract 11 Contract Extensions 11 Notices and Correspondence 11 Contractor Performance Evaluation 12 Signing of Contract Amendments 12 Click-Through Terms and Conditions 12 Books and Records 12 Design Professional Licenses and Registration 13
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1. 3.2. 3.3. 3.4. 3.5. 3.6. 3.7.	ontract Interpretation 9 Arizona Law 9 Contract Order of Precedence 9 Implied Terms 10 References to Statute 10 Usage 10 Independent Contractor 11 Severability 11 Complete Integration 11 No Waiver of Rights 11 Ontract Administration and Operation 11 Term of Contract 11 Contract Extensions 11 Notices and Correspondence 11 Contract Performance Evaluation 12 Signing of Contract Amendments 12 Click-Through Terms and Conditions 12 Books and Records 12 Design Professional Licenses and Registration 13
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1. 3.2. 3.3. 3.4. 3.5. 3.6. 3.7. 3.8. 3.9.	ontract Interpretation 9 Arizona Law 9 Contract Order of Precedence 9 Implied Terms 10 References to Statute 10 Usage 10 Independent Contractor 11 Severability 11 Complete Integration 11 No Waiver of Rights 11 Ontract Administration and Operation 11 Term of Contract 11 Contract Extensions 11 Notices and Correspondence 11 Contractor Performance Evaluation 12 Signing of Contract Amendments 12 Click-Through Terms and Conditions 12 Books and Records 12 Design Professional Licenses and Registration 13
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1. 3.2. 3.3. 3.4. 3.5. 3.6. 3.7. 3.8. 3.9. 3.10.	ontract Interpretation 9 Arizona Law
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1 3.2 3.3 3.4 3.5 3.6 3.7 3.8 3.9 3.10 3.11	Ontract Interpretation 9 Arizona Law
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1. 3.2. 3.3. 3.4. 3.5. 3.6. 3.7. 3.8. 3.9. 3.10. 3.11. 3.12.	ontract Interpretation 9 Arizona Law
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1. 3.2. 3.3. 3.4. 3.5. 3.6. 3.7. 3.8. 3.9. 3.10. 3.11. 3.12. 3.13. 3.14. 3.15.	ontract Interpretation 9 Arizona Law 9 Contract Order of Precedence 9 Implied Terms 10 References to Statute 10 Usage 10 Independent Contractor 11 Severability 11 Complete Integration 11 No Waiver of Rights 11 ontract Administration and Operation 11 Term of Contract 11 Contract Extensions 11 Notices and Correspondence 11 Contract Performance Evaluation 12 Signing of Contract Amendments 12 Click-Through Terms and Conditions 12 Design Professional Licenses and Registration 13 Ownership of Intellectual Property 13 Subcontracts and Subconsultants 14 Non-Discrimination 15 E-Verify Requirements 15 Offshore Performance of Certain Work Prohibited 15 Other Contractors 15 Work on State Premises 16
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1. 3.2. 3.3 3.4. 3.5. 3.6. 3.7. 3.8. 3.9. 3.10. 3.11. 3.12. 3.13. 3.14. 3.15. 3.16.	Arizona Law. 9 Contract Order of Precedence. 9 Implied Terms. 10 References to Statute. 10 Usage. 10 Independent Contractor. 11 Severability. 11 Complete Integration. 11 No Waiver of Rights 11 Dontract Administration and Operation 11 Term of Contract 11 Contract Extensions 11 Notices and Correspondence 11 Contract Performance Evaluation 12 Signing of Contract Amendments 12 Click-Through Terms and Conditions 12 Design Professional Licenses and Registration 13 Ownership of Intellectual Property 13 Subcontracts and Subconsultants 14 Non-Discrimination 15 E-Verify Requirements 15 Offshore Performance of Certain Work Prohibited. 15 Other Contractors 16
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1 3.2 3.3 3.4 3.5 3.6 3.7 3.8 3.9 3.10 3.11 3.12 3.13 3.11 3.12 3.13 3.14 3.15 3.16 3.17.	Ontract Interpretation 9 Arizona Law 9 Contract Order of Precedence 9 Implied Terms 10 References to Statute 10 Usage 10 Independent Contractor 11 Severability 11 Complete Integration 11 No Waiver of Rights 11 Ontract Administration and Operation 11 Term of Contract 11 Contract Extensions 11 Notices and Correspondence 11 Contract Performance Evaluation 12 Signing of Contract Amendments 12 Click-Through Terms and Conditions 12 Design Professional Licenses and Registration 13 Ownership of Intellectual Property 13 Subcontracts and Subconsultants 14 Non-Discrimination 15 E-Verify Requirements 15 Oftshore Performance of Certain Work Prohibited 15 Oftshore Performance of Certain Work Prohibited 15 Other Contractors 16
2.0 Co 2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 3.0 Co 3.1. 3.2. 3.3 3.4. 3.5. 3.6. 3.7. 3.8. 3.9. 3.10. 3.11. 3.12. 3.13. 3.14. 3.15. 3.16.	Arizona Law. 9 Contract Order of Precedence. 9 Implied Terms. 10 References to Statute. 10 Usage. 10 Independent Contractor. 11 Severability. 11 Complete Integration. 11 No Waiver of Rights 11 Dontract Administration and Operation 11 Term of Contract 11 Contract Extensions 11 Notices and Correspondence 11 Contract Performance Evaluation 12 Signing of Contract Amendments 12 Click-Through Terms and Conditions 12 Design Professional Licenses and Registration 13 Ownership of Intellectual Property 13 Subcontracts and Subconsultants 14 Non-Discrimination 15 E-Verify Requirements 15 Offshore Performance of Certain Work Prohibited. 15 Other Contractors 16

Uniform Terms and Conditions Design Professional Table of Contents Page 2 of 5



4.0	Costs and Payments	
4.1.	Additional Work	
4.2.	Applicable Taxes	17
4.3.	Application for Progress Payment, Contractor	
4.4.	Application for Payment Certification by Design Professional	
4.5.	Automated Clearing House	
4.6.	Availability of Funds	
4.7. 4.8.	Basis of Compensation; Design Professional	
4.0.	Contracted Labor Rate Delay	
4.5.	Equipment Rental	
4.11.	Invoicing Requirements	21
4.12.	Interest	
4.13.	Payment	
4.14.	Project Suspension by Agency	
4.15.	Recovery of Overpayment	
4.16.	Reimbursable Expenses	
4.17.	Standard Hourly Rate Basis for Work	
4.18.	Stipulated Sum (Fixed Price/Lump Sum) Basis for Work	
4.19.	Notification of Payments	23
E 0	Contract Changes	00
5.0	Contract Changes	
5.1.	Assignments and Delegation	
5.2.	Contract Amendments	
5.3.	Unauthorized Contract Amendments or Orders are Void	
5.4.	Change Orders	
5.5.	Field Order Directive	
5.6. 5.7.	Minor Changes in the Work	
5.7.	Claims	
60	Risk and Liability	25
	•	
6.1.	Risk of Loss	
6.2.	Basic Indemnification	
6.3.	Patent and Copyright Indemnification	
6.4. 6.5.	Force Majeure Performance in Public Health Emergency	
6.6.	Safety Standards	
6.7.	Third Party Antitrust Violations	
	-	
7.0	Narranties	
7.1	Liens	28
7.2	Guarantees and Warranties	
7.3	Contractor Personnel	
7.4	Intellectual Property	
7.5	Compliance with Laws	
7.6	100% Construction Documents	29
7.7	Contracted Work, Errors and Omissions	29
7.8	Licenses and Permits	
7.9	Operational Continuity	
7.10	Pandemic Contractual Performance	
7.11	Lobbying	
7.12 7.13	Survival of Warranties Waiver of the Statute of Repose	
7.13	waiver of the Statute of Repose	
8.0	State's Contractual Remedies	
8.1	Agency's Right to Carry Out the Work	
8.2	Consequential Damages	
8.3	Nonconforming Tender	
8.4	Non-exclusive Remedies	
8.5	Right to Assurance	
8.6	Right of Offset	
8.7	Stop Work Order	
90	Contract Termination	31
9.1	Agency Failure to Perform	

Uniform Terms and Conditions Design Professional Table of Contents Page 3 of 5

10.19.20

9.2	Gratuities	
9.3	Notice to Cure	
9.4 9.5	Rights to Work Project Suspension or Debarment	
9.6	Termination for Conflict of Interest	
9.7	Termination for Convenience	
9.8	Termination for Default	
9.9	Work Performance Continuation Required	33
10.0Co	ntract Claims	
10.1	Claim Resolution	33
10.2	Mandatory Arbitration	
10.3 11.0De	Additional Parties to Arbitration	
11.1	Acceptance of Work	
11.2	Additional Work	
11.3	Agency Reviews and Approvals During Design	
11.4	Allowances	
11.5	As-Built Drawings	
11.6	Automatic Temperature Control Design	
11.7	Basic Work, Design Professional Services	
11.8 11.9	Bidding Phase	
11.10	Compliance with Codes	
11.11	Cooperation and Coordination	
11.12	Schedule	
11.13	Conformity of Work to Construction Documents Review	
11.14	Construction Cost Control	
11.15	Construction Safety	
11.16	Contractor Pre-Award Conference	
11.17	Construction Meetings	
11.18	Correction of Defects and Non-Compliant Work	
11.19 11.20	Demolition Plan	
11.20	Design Development Design Professional Agreements, Communication	
11.22	Design Schematics	
11.23	Energy Efficiency	
11.24	Examination of Site	
11.25	Forced Substitutions	39
11.26	Inclement Weather Day	39
11.27	Inspection and Material Testing	
11.28	Inspection of Work by Design Professional	
11.29	Issue Addenda	
11.30 11.31	Key Personnel Labor and Materials	
11.32	Life Cycle Cost Analysis	
11.33	Management and Supervision of the Work, Design Professional Service	
11.34	Meeting Minutes	
11.35	Observations	43
11.36	Outline Specifications	43
11.37	Pre-Bid Conference Site Visit	
11.38	Preconstruction Conference	
11.39	Program and Budget Review	
11.40 11.41	Project Closeout	
11.41	Proposed Change Order Review Proprietary Specifications	
11.42	Quality Assurance.	
11.44	Record Documents	
11.45	Requirements at Location	
11.46	Schedule of Values	45
11.47	Shop Drawings, Product Data and Samples	
11.48	Special Inspections and Testing	45
11.49	Specification Submittal Requirements; Design Professional	
11.50	Statutory Review	
11.51	Structural, Mechanical, Electrical, Calculations	
11.52 11.53	Submittal Register Substantial and Final Completion	
11.55	Substantial and Final Completion	

Uniform Terms and Conditions Design Professional Table of Contents Page 4 of 5



11.55 Supervision and Project Administration 47 11.56 Transition 47 11.57 Unknown, Unforeseen, or Concealed Conditions 48 11.58 Use of Seals; Design Professionals 48 11.59 Work Performance; Design Professional 48 12.0 Agency Responsibilities 48 12.1 Access to Work Site 48 12.2 Accuracy of Information 48 12.3 Budget 48 12.4 Errors or Omissions 48 12.5 Site Information 49 12.6 Geotechnical Engineers 49 12.6 Geotechnical Engineers, Related Construction 49 12.1 Substitutions of Materials 49 12.10 Promotional Materials 49 12.10 Promotional Materials 49 12.11 Substitutions of Material 49 12.12 Timely Review 49 12.13 Data and Information Handling 49 13.1 Applicability 49 13.3 Personally Identifiable Information	11.54	Substitution of Material or Equipment	
11.57 Unknown, Unforeseen, or Concealed Conditions. 48 11.58 Use of Seals; Design Professionals 48 11.59 Work Performance; Design Professional. 48 12.0 Agency Responsibilities 48 12.1 Access to Work Site 48 12.2 Accuracy of Information 48 12.3 Budget 48 12.4 Access to Work Site 48 12.5 Site Information 48 12.6 Geotechnical Engineers 49 12.7 Testing 49 12.8 Communications 49 12.9 Design Professional Agreements, Related Construction 49 12.10 Promotional Materials 49 12.11 Substitutions of Material 49 12.12 Timely Review 49 13.0 Data and Information Handling 49 13.4 Protected Health Information 49 13.4 Protected Health Information 49 13.4 Protected Health Information 50 14.1 Applicability. 50	11.55	Supervision and Project Administration	
11.58 Use of Seals; Design Professionals 48 11.59 Work Performance; Design Professional 48 12.0 Agency Responsibilities 48 12.1 Access to Work Site 48 12.2 Accuracy of Information 48 12.3 Budget 48 12.4 Errors or Omissions 48 12.5 Site Information 49 12.6 Geotechnical Engineers 49 12.7 Testing 49 12.8 Communications 49 12.9 Design Professional Agreements, Related Construction 49 12.10 Promotional Materials 49 12.11 Substitutions of Material 49 12.12 Timely Review 49 13.0 Data and Information Handling 49 13.1 Applicability 49 13.2 Data Protection and Confidentiality of Information 49 13.3 Personally Identifiable Information 49 13.4 Protected Health Information 50 14.0 Information Access 50	11.56	Transition	
11.59 Work Performance; Design Professional. 48 12.0Agency Responsibilities 48 12.1 Access to Work Site. 48 12.2 Accuracy of Information 48 12.3 Budget 48 12.4 Errors or Omissions 48 12.5 Site Information 49 12.6 Geotechnical Engineers 49 12.7 Testing 49 12.8 Communications 49 12.9 Design Professional Agreements, Related Construction 49 12.10 Promotional Materials 49 12.11 Substitutions of Material 49 12.12 Timely Review 49 13.0 Data and Information Handling 49 13.1 Applicability 49 13.2 Data Protection and Confidentiality of Information 49 13.4 Protected Health Information 49 13.4 Protected Health Information 50 14.0 Information Access 50 14.1 Applicability 50 14.2 Information Access </td <td>11.57</td> <td>Unknown, Unforeseen, or Concealed Conditions</td> <td></td>	11.57	Unknown, Unforeseen, or Concealed Conditions	
11.59 Work Performance; Design Professional	11.58	Use of Seals: Design Professionals	
12.1 Access to Work Site 48 12.2 Accuracy of Information 48 12.3 Budget 48 12.4 Errors or Omissions 48 12.5 Site Information 49 12.6 Geotechnical Engineers 49 12.7 Testing 49 12.8 Communications 49 12.9 Design Professional Agreements, Related Construction 49 12.10 Promotional Materials 49 12.11 Substitutions of Material 49 12.12 Timely Review 49 13.0 Data and Information Handling 49 13.1 Applicability 49 13.2 Data Protection and Confidentiality of Information 49 13.3 Personally Identifiable Information 49 13.4 Protected Health Information 50 14.0 Information Access 50 14.1 Applicability 50 14.2 Information Access 50 14.3 Pass-Through Indemnity 51 14.4	11.59		
12.2 Accuracy of Information 48 12.3 Budget 48 12.4 Errors or Omissions 48 12.5 Site Information 49 12.6 Geotechnical Engineers 49 12.7 Testing 49 12.8 Communications 49 12.9 Design Professional Agreements, Related Construction 49 12.10 Promotional Materials 49 12.11 Substitutions of Material 49 12.12 Timely Review 49 13.0 Data and Information Handling 49 13.1 Applicability 49 13.2 Data Protection and Confidentiality of Information 49 13.3 Personally Identifiable Information 49 13.4 Protected Health Information 50 14.0 Information Access 50 14.1 Applicability 50 14.2 Information Access 50 14.3 Pass-Through Indemnity 51 14.4 Redress of Infringement 51 14.5	12.0A	gency Responsibilities	
12.3 Budget 48 12.4 Errors or Omissions 48 12.5 Site Information 49 12.6 Geotechnical Engineers 49 12.7 Testing 49 12.8 Communications 49 12.9 Design Professional Agreements, Related Construction 49 12.10 Promotional Materials 49 12.11 Substitutions of Material 49 12.12 Timely Review 49 13.0 Data and Information Handling 49 13.1 Applicability 49 13.2 Data Protection and Confidentiality of Information 49 13.3 Personally Identifiable Information 49 13.4 Protected Health Information 50 14.0 Information Technology Work 50 14.1 Applicability 50 14.2 Information Access 50 14.3 Pass-Through Indemnity 51 14.4 Redress of Infringement 51 14.5 First Party Liability Limitation 52	12.1	Access to Work Site	
12.4 Errors or Omissions	12.2	Accuracy of Information	
12.4 Errors or Omissions	12.3	Budget	
12.6 Geotechnical Engineers. 49 12.7 Testing 49 12.8 Communications 49 12.9 Design Professional Agreements, Related Construction 49 12.10 Promotional Materials 49 12.11 Substitutions of Material 49 12.12 Timely Review 49 13.0 Data and Information Handling 49 13.1 Applicability 49 13.2 Data Protection and Confidentiality of Information 49 13.3 Personally Identifiable Information 49 13.4 Protected Health Information 50 14.0 Information Technology Work 50 14.1 Applicability 50 14.2 Information Access 50 14.3 Pass-Through Indemnity 51 14.4 Redress of Infringement 51 14.5 First Party Liability Limitation 52	12.4		
12.7 Testing	12.5	Site Information	
12.8 Communications 49 12.9 Design Professional Agreements, Related Construction 49 12.10 Promotional Materials 49 12.11 Substitutions of Material 49 12.12 Timely Review 49 13.0 Data and Information Handling 49 13.1 Applicability 49 13.2 Data Protection and Confidentiality of Information 49 13.3 Personally Identifiable Information 49 13.4 Protected Health Information 50 14.0 Information Technology Work 50 14.1 Applicability 50 14.2 Information Access 50 14.3 Pass-Through Indemnity 51 14.4 Redress of Infringement 51 14.5 First Party Liability Limitation 52	12.6	Geotechnical Engineers	
12.9Design Professional Agreements, Related Construction4912.10Promotional Materials4912.11Substitutions of Material4912.12Timely Review4913.0Data and Information Handling4913.1Applicability4913.2Data Protection and Confidentiality of Information4913.3Personally Identifiable Information4913.4Protected Health Information5014.0Information Technology Work5014.1Applicability5014.2Information Access5014.3Pass-Through Indemnity5114.4Redress of Infringement5114.5First Party Liability Limitation5214.6Information Technology Warranty52	12.7	Testing	
12.9Design Professional Agreements, Related Construction4912.10Promotional Materials4912.11Substitutions of Material4912.12Timely Review4913.0 Data and Information Handling4913.1Applicability4913.2Data Protection and Confidentiality of Information4913.3Personally Identifiable Information4913.4Protected Health Information5014.0 Information Technology Work5014.1Applicability5014.2Information Access5014.3Pass-Through Indemnity5114.4Redress of Infringement5114.5First Party Liability Limitation5214.6Information Technology Warranty52	12.8	Communications	
12.10 Promotional Materials 49 12.11 Substitutions of Material 49 12.12 Timely Review 49 13.0 Data and Information Handling 49 13.1 Applicability 49 13.2 Data Protection and Confidentiality of Information 49 13.3 Personally Identifiable Information 49 13.4 Protected Health Information 50 14.0 Information Technology Work 50 14.1 Applicability 50 14.2 Information Access 50 14.3 Pass-Through Indemnity 51 14.4 Redress of Infringement 51 14.5 First Party Liability Limitation 52	12.9		
12.11Substitutions of Material	12.10	Promotional Materials	
12.12Timely Review4913.0 Data and Information Handling	12.11		
13.1 Applicability	12.12		
13.1 Applicability	13.0D	ata and Information Handling	
13.2 Data Protection and Confidentiality of Information 49 13.3 Personally Identifiable Information 49 13.4 Protected Health Information 50 14.0 Information Technology Work 50 14.1 Applicability 50 14.2 Information Access 50 14.3 Pass-Through Indemnity 51 14.4 Redress of Infringement 51 14.5 First Party Liability Limitation 52 14.6 Information Technology Warranty 52			
13.3 Personally Identifiable Information 49 13.4 Protected Health Information 50 14.0 Information Technology Work 50 14.1 Applicability 50 14.2 Information Access 50 14.3 Pass-Through Indemnity 51 14.4 Redress of Infringement 51 14.5 First Party Liability Limitation 52 14.6 Information Technology Warranty 52	13.2	Data Protection and Confidentiality of Information	
13.4 Protected Health Information 50 14.0 Information Technology Work 50 14.1 Applicability 50 14.2 Information Access 50 14.3 Pass-Through Indemnity 51 14.4 Redress of Infringement 51 14.5 First Party Liability Limitation 52 14.6 Information Technology Warranty 52	13.3		
14.1Applicability	13.4		
14.1Applicability	14.0Ir	nformation Technology Work	
14.2Information Access5014.3Pass-Through Indemnity5114.4Redress of Infringement5114.5First Party Liability Limitation5214.6Information Technology Warranty52			
14.3Pass-Through Indemnity			
14.4Redress of Infringement.5114.5First Party Liability Limitation5214.6Information Technology Warranty52			
14.5First Party Liability Limitation5214.6Information Technology Warranty52			
14.6 Information Technology Warranty			



Uniform Terms and General Conditions Design Professional DEFINITION OF TERMS

1)

1.1. Addition	ontract, the terms listed below are	"Additional Work" means the addition of any Work, not described as
I.I. Addition		Basic Work, to be provided to the Agency by the Design Professional
		that is contemplated by, but not specifically described in, an Exhibit to
		this Contract. Compensation for Additional Work must be approved
		through a Change Order or Contract Amendment.
1.2. Agency		"Agency" is used with the same meaning as "State" in definition below
	Designated Representative	"Agency Designated Representative" means the assigned
1.5. Agency	Designated Representative	representative of the Agency to monitor the progress and Schedules
		of the Work for the Project.
1.4. Allowan	ce	"Allowance" means construction funds established by the Agency to
		compensate for a portion of the Work which cannot sufficiently be
		specified or determined. The Contractor shall place any and all
		Allowances within separate line items in the Contractor's Contract
		Cost at time of offer submission.
1.5. Applicat	ion for Progress Payment	"Application for Progress Payment" means Contractor and/or
		Contractor's monthly invoice request for payment that includes any
		and all portions of the Work in a Contract that have been completed
		and accepted by the Agency for which an invoice has not been
		previously submitted in accordance with the requirements of the
		Contract Documents. Progress Payments for Contractor Work
		approved and certified by the Contractor and Agency signature, shall
		be paid less deductions to pay the expenses the Agency reasonably
		expects to incur in correcting a deficiency set for in an Agency written
		finding in accordance with A.R.S. §41-2577, and retained percentage
		in accordance with A.R.S. §41-2576.
1.6. Applicat	ion for Final Payment	"Application for Final Payment" means Construction Contractor's final
		invoice for payment. The Application for Final Payment shall meet all
		the requirements of Applications for Payment, but shall also include any and all remaining open invoices under the Contract. After a
		Construction Contractor's Application for Final Payment is approved
		by the Agency, the Agency shall not release any additional payments
		to that Construction Contractor under the Contract.
1.7. Arizona	Procurement Code; A.R.S.,	"Arizona Procurement Code" means, collectively, Arizona Revised
A.A.C.		Statutes (abbreviated "A.R.S.") Title 41 Chapter 23, Section 2501, et
		seq., and the rules promulgated thereunder, Arizona Administrative
		Code (abbreviated "A.A.C.") R2-7-101, et seq.
		NOTE: There are frequent references to the Arizona Procurement
		Code throughout the Solicitation, therefore, you will need to be familia
		with its provisions to be able to understand the Solicitation fully. Links
		for obtaining copies are given below.
		The Arizona Department of Administration State Procurement Office
		provides a reference compilation of the Arizona Procurement Code or
		its website:
		https://spo.az.gov/administration-policy/state-procurement-
		resource/procurement-regulations
		The Arizona State Legislature provides the official A.R.S. online at: http://www.azleg.gov/arstitle/
		The Office of the Arizona Secretary of State provides the official
		A.A.C. online at: http://www.azsos.gov/rules/arizona-administrative-
		code
1.8. Arizona	ТРТ	"Arizona TPT" means Arizona Transaction Privilege Tax. For
		information, refer to the Arizona Department of Revenue (DOR)
		website at:
		https://www.azdor.gov/business/transactionprivilegetax.aspx.
1.9. As-Built	s	"As-builts" shall mean the marked-up version of the As-built Drawings
		and Specifications Contract Documents prepared by the Construction

	10.19.20
--	----------

	Contractor to record as-built conditions, current changes, and selections made during construction.
1.10. Attachment	"Attachment" means any item that the Offeror is required to submit as
	part of the provision of Services required to design or engineer or
	construct the Project, as detailed in Exhibit A.
1.11. Basic Work	"Basic Work" means the services to be provided to the Agency by the
	Design Professional described in an Exhibit.
1.12. Bidding Documents	"Bidding Documents" means those documents prepared and furnished
	by the Design Professional for the purpose of obtaining bids from
	Construction Contractors to construct the Work.
1.13. Building Work	"Building Work" means everything covered by the definitions in A.R.S.
	§ 41-2503 [Definitions] of the terms "construction" (para. 4),
	"maintenance services" (para. 26), and "operations services" (para.
	28).
1.14. Change Order	"Change Order" means the instrument by which the Agency authorizes
	Construction Contractor to perform some or all of the Work, including
	but not limited, Construction Change Orders, Design Change Notices,
	and Field Order Directives. Consistent with A.R.S. § 41-2503(3), only
	the Procurement Officer responsible for this Contract has the authority
	to modify or amend this Contract through a signed Change Order or Contract Amendment. The Agency will only compensate Construction
	Contractor for Work that has been approved by the Agency through a
	fully authorized Change Order or Contract Amendment.
1.15. Close Out Documents	"Close Out Documents" means those items required by Exhibit M-
	Contractor Project Closeout, and as may be further defined, identified,
	and required by the Contract Documents.
1.16. Conditional Waiver of Lien	"Conditional Waiver of Lien" has the meaning defined in A.R.S §33-
	1008 which, for convenience of reference only, is a:
	Conditional Waiver and release on Progress Payment "where the
	claimant is required to execute a waiver and release in exchange for
	or in order to induce the payment of a progress payment and the
	claimant is not in fact paid in exchange for the waiver and release or a
	single payee check or joint payee check is given in exchange for the
	waiver and release"; and
	Conditional Waiver and release on Final Payment "where the claimant
	is required to execute a waiver and release in exchange for or in order
	to induce payment of a final payment and the claimant is not paid in
	exchange for the waiver and release or a single payee check or joint
	payee check is given in exchange for the waiver and release".
1.17. Construction	"Construction" as defined in A.R.S. § 41-2503(4) means the process of
	building, altering, repairing, improving or demolishing any public
	structure or building or other public improvements of any kind to any
	real property in which the public has an intrest.
1.18. Construction Contract	"Construction Contract" means any contract between the State and a
	Person that has or will engage in Construction Services for the benefit
	of the State.
1.19. Construction Contractor	"Construction Contractor" is a Person that has or had a contract with
	the State to engage in Construction Services for the benefit of the
1.20. Construction Documents	State.
1.20. Construction Documents	"Construction Documents" means any and all documents created by the Design Professionals that describe the Work in detail, including but
	not limited to, plans, specifications, revisions, addenda, and Change
	Orders, issued to build the project. Construction Documents created
	after the Contract is signed may be integrated into the Contract if, and
	only if, there is a properly executed Contract Amendment or Change
	Order to verify that integration.
1.21. Contingency	"Contingency" means an amount associated with Costs that were
	unforeseen by the Contractor when it submitted its offer which may be
	authorized by the Agency through a Change Order. Contractor may
	not proceed with Work on Contingencies without prior authorization
	authorized by the Agency through a Change Order. Contractor may

Uniform Terms and Conditions Design Professional Page 2 of 52

	10.19.20
1912	

	from the Agency through a Field Order Directive or Change Order. The Agency will not compensate Contractor for unauthorized Contingency Work.
1.22. Contract	 "Contract" means, collectively, the entire agreement between Agency and Design Professional and/or Construction Contractor, including all of the Contract Documents, the Acceptance, the Solicitation Documents, any and all authorized Change Orders, and any Contract Amendments. The Contract is identified as a "Purchase Order" in APP, since that is the terminology used in the software. The terms of this Contract are defined in this document alone. The use of different terminology, or the same terminology with a different meaning, in any State eProcurement system (including, but not limited to, APP) does not override any term in this Contract. The Design Professional and/or Construction Contractor should contact the relevant Procurement Officer if it has any questions regarding the meaning of terms in the State's eProcurement system.
1.23. Contract Amendment	"Contract Amendment" means a document signed by Procurement Officer that has been issued for the purpose of making changes to the Contract after execution without changing the general scope. Only the Procurement Officer responsible for this Contract has the authority to modify or amend this Contract. The term "Change Order" in APP is synonymous with "Contract Amendment."
1.24. Contract Cost	"Contract Cost" means the amount for which a Design Professional and/or Construction Contractor agrees to perform the Work as set forth in its Contract with the Agency.
1.25. Contract Terms and Conditions	"Contract Terms and Conditions" means the <u>Special Terms and</u> <u>General Conditions</u> and these Uniform Terms and General Conditions taken collectively.
1.26. Contractor	For the purpose of this Contract, "Contractor" refers to any Person who has entered into a Contract with the Agency, including, but not limited to, a Design Professional or Construction Contractor.
1.27. Contractor Indemnitor	"Contractor Indemnitor" means Contractor and/or any of its owners, officers, directors, agents, employees, or Subcontractor and/or Subconsultants and, if applicable, their respective spouses.
1.28. Cost	 Cost" as defined by A.R.S. § 41-2571(2), means the aggregate Cost of all Materials and services, including labor performed by force account. The Agency shall only pay for expenses explicitly authorized as part of the Work under the Contract. For any changes, subject to Markup, in the Work or additional charges sought by Construction Contractor under the contract, "Cost" shall only mean actual direct labor, material, and service Costs incurred by Construction Contractor at the construction site and which are necessary to complete the Work. Such Costs shall include those from subcontractors, vendors, and material suppliers. Costs, for the purpose of this section, shall exclude markup for, including but not limited to, Costs incurred for general conditions, bonds, insurance, contingency, overhead or profit.
1.29. Cost of Work	"Cost of Work" means the sum of the Costs the Design Professional and/or Construction Contractor necessarily incurred and paid in the proper performance of the Work.
1.30. Day	"Day" means a calendar day unless otherwise specified in a particular context.
1.31. Design Change Notice	"Design Change Notice" refers to an agreement that records a change to the time for Work completion, price, and/or change in design after the initial completion of the design was reviewed and approved by the Statutory Review. The purpose of the Design Change Notice is to ensure: the changes are re-reviewed and approved by Statutory Review and the Procurement Officer; and the Contract Documents are revised in a manner that is consistent with the Design Requirements under the Contract.

Uniform Terms and Conditions Design Professional Page 3 of 52

	10.19.20	
1.32. Design Professional	"Design Professional" means the individual or firm with which the	
	Agency has entered into a written Design Professional Services Contract.	
1.33. Design Professional Services	"Design Professional Services" as defined in A.R.S. § 41-2571(4), means architect services, engineer services, land surveying services, geologist services or landscape architect services or any combination of those services performed by or under the supervision of a Design Professional or employees or Subconsultants of the Design Professional.	
1.34. Design Professional Service Contract	"Design Professional Service Contract" shall be the executed Exhibit A	
1.35. Design Requirements	"Design Requirements" means, at a minimum, the purchasing Agency's written description of the Work by the Design Professional including: the required features, functions, characteristics, qualities and properties; the anticipated Schedules, including start, duration and completion; and estimated budgets applicable to the specific procurement for design and Construction and, if applicable, for operation and maintenance and plans and specification for the project. "Design requirements" may also include the following, at the purchasing Agency's discretion: Drawings and other documents illustrating the scale and relationship of the features, functions and characteristics of the project, which shall all be prepared by a design professional who is registered pursuant to A.R.S. § 32-121; and/or additional design information or documents.	
1.36. Drawings	"Drawing" means the Work product of the Design Professional which	
1.37. Estimated Project Construction Cost	graphically depicts the Work. "Estimated Project Construction Cost" shall mean Design Professional's written estimate in the form specified by the Agency of the total Construction Cost of the Project at the various stages of the design process.	
1.38. Excusable Delay	"Excusable Delay" means a delay to the Schedules approved by the Agency and Design Professional through a Change Order that entitles the Construction Contractor to an adjustment of the Contract time for Substantial Completion, but not an adjustment of the Contract Cost.	
1.39. Exhibit	"Exhibit" means any item labeled as an Exhibit in the Solicitation or placed in the Exhibits section of the Contract Documents.	
1.40. Field Order Directive	"Field Order Directive" refers to written directives used in advance of a Construction Change Order, as more specifically prescribed in these Uniform Terms and General Conditions.	
1.41. Final Completion	"Final Completion" means the date upon which the Work is complete in accordance with the terms and conditions of the Contract, including punch list items. The date of Final Completion shall be set by the Agency as a certain number of days after Substantial Completion. Any additional or supplemental Work after this date due to Construction Contractor error or omission shall be completed by the Construction Contractor without additional compensation.	
1.42. Final Completion Certificate	The "Final Completion Certificate-Exhibit L" is the certificate issued by the Design Professional and/or the Agency Designated Representative that documents, to the best of the Design Professional's and/or the Agency Designated Representative's knowledge and understanding, that Construction Contractor has completed all Work required by the Contract Documents, including, but not limited to: all of the Punch List items and pre-final Punch List items for which it is responsible; final cleanup; and Construction Contractor's provision of Record Documents, operations manuals, maintenance manuals, and any and all other Close Out Documents required by the Contract Documents and list on Exhibit M-Contractor Project Closeout.	
1.43. Final Payment	"Final Payment" means the last and final monetary compensation the Agency will make to Construction Contractor for any portion of the Project including any Work that has been completed and accepted for which payment has not been made, amounts owing to adjustments to	

|--|--|

	the final Contract Sum resulting from approved Change Orders, and
	release of Construction Contractor's retainage if any.
1.44. General Conditions of Contractor	"General Conditions of Contractor" means the Construction
	Contractor's resources, equipment, and items used by the
	Construction Contractor in the Work of the Project that is used to
	complete the Project. The General Conditions Fee will be based on actual itemized, documented Cost.
1.45. Gratuity	"Gratuity" means a payment, loan, subscription, advance, deposit of
	money, services, or anything of more than nominal value, present or
	promised, unless consideration of substantially equal or greater value
	is received.
1.46. Hazardous Waste; Release	"Release" means any discharging, disposing, dumping, emitting,
	emptying, escaping, injecting, leaching, leaking, pouring, pumping,
	releasing, spilling, or similar action or event. "Construction Contractor Hazardous Waste Release" means any
	Release of a Hazardous Substance on Agency's property or adjoining
	property during the Work arising, in whole or in part, from acts or
	omissions of Construction Contractor or any Subcontractor.
1.47. Hazardous Substance	"Hazardous Substance" means a substance, material or hazardous
	waste which, by reason of being explosive, flammable, poisonous,
	corrosive, oxidizing, irritating or otherwise harmful, is likely to cause
1.48. Hazardous Waste	death or injury. "Hazardous Waste" means "hazardous waste", as defined in the
1.40. Hazarubus Waste	Resource Conversation and Recovery Act of 1976 and the Solid
	Waste Disposal Act (42 U.S.C. 6901 et seq.) and any successor
	statutes and any regulations, rules or guidelines promulgated pursuant
	thereto as in effect from time to time (including, without limitation, any
	such waste resulting from removal of, demolition or modifications of or
	additions to part or all of any existing structure, facility or equipment).
	"Contractor Hazardous Waste" means any Hazardous Waste arising during or from Work that is generated, in whole or in part, by the acts
	or omissions of Construction Contractor or a Subcontractor and/or
	Subconsultants.
1.49. Indemnified Basic Claims	"Indemnified Basic Claims" means any and all claims, actions,
	liabilities, damages, losses, or expenses, including court Costs, expert
	fees, attorneys' fees, and Costs of claim processing, investigation and
	litigation, for any and all damage or equitable claims made against the Agency, including, but not limited to, personal injuries, death, property
	damages (real, personal, tangible or intangible), and injunctive relief.
	See paragraph 6.2.
1.50. Instructions to Offerors	"Instructions to Offerors" is part of the Solicitation Documents.
1.51. Materials	"Materials" means, as defined in A.R.S. § 41-2503(7) "all property,
	including equipment, supplies, printing, insurance, and leases of
	property [but] does not include land, a permanent interest in land or
	real property or leasing space." "Materials" includes software, unless the software is sold or provided
	as a service under the Contract. Software sold or provided as a
	service under the Contract is both a Material (to the extent it consists
	of encoded information or computer instructions) and a service, as
	described in "Services".
1.52. Negotiation	"Negotiation" means, as defined in A.A.C. R2-7-101(32), an exchange
	or series of exchanges between the Agency and an offeror or Design
	Professional and/or Construction Contractor that allows the Agency or the offeror or Design Professional and/or Construction Contractor to
	revise an offer or Contract, unless revision is specifically prohibited by
	the Arizona Procurement Code.
1.53. Notice to Cure	"Notice to Cure" means a written letter from a Procurement Officer to
	cure an event of default and/or an anticipatory breach of Contract
	setting forth a time limit in which the cure is to be completed or
4.54 Notice to Decession	commenced and diligently prosecuted.
1.54. Notice to Proceed	"Notice to Proceed" means the written document from the Project
	Manager, by letter or email, informing Design Professional and/or

Uniform Terms and Conditions Design Professional Page 5 of 52

	10.19.20
1912	

	Construction Contractor of the date designated as the date of commencement of construction and the date of Substantial Completion.
1.55. Offer and Acceptance Form	"Offer and Acceptance Form" means the document bearing the State Contract number once Procurement Officer has signed it to signify (a) Agency's formal acceptance of the negotiated offer from the Design Professional and (b) the formation of the Contract. For clarity of intent, the foregoing is not to be confused with the term "acceptance" used throughout the Contract in the context of delivery, inspection, etc., with respect to Materials or Services.
1.56. Part, Section; Exhibit	"Part," "Section," and "Exhibit" are each defined in the <u>Instructions to</u> Offerors.
1.57. Payment Bond	"Payment Bond" means a bond issued by a surety authorized to transact business in this State, issued in the amount for the Contract Cost and is payable to Agency, solely for the protection and use of payment bond beneficiaries pursuant to A.R.S. § 41-2574.
1.58. Performance Bond	"Performance Bond" means a bond issued by a surety authorized to transact business in this State, issued in the amount for the Contract Cost and is payable to State, to guarantee the faithful performance of the Work by the Construction Contractor in accordance with the Contract Documents pursuant to A.R.S. § 41-2574.
1.59. Person	"Person" means any corporation, business, individual, firm, partnership, association, union, committee, club, or other organization or group of individuals.
1.60. Preconstruction Services	"Preconstruction Services" means services and other activities during the design phase.
1.61. Procurement Officer	"Procurement Officer" means the person, or his or her designee, who has been duly authorized by Agency to enter into and administer the Contract and to make written determinations with respect to the Contract. Procurement Officer is as identified on the Acceptance unless subsequently changed by Contract Amendment.
1.62. eProcurement System (currently APP)	 "eProcurement System" means the State's official electronic procurement system, established pursuant to A.A.C. R2-7-201, as set forth in the Arizona Department of Administration ("ADOA") State Procurement Office policy. This eProcurement System may be subject to change. The State's current eProcurement system is "APP." The current version of the ADOA policy regarding the State's eProcurement System is <i>Technical Bulletin No. 020, APP</i> – <i>The Official State eProcurement System</i>. NOTE (1): Technical Bulletin No. 020 is available online at: https://spo.az.gov/administration-policy/state-procurement-resource/procurement- regulations NOTE (2): The URL for APP itself is: https://appstate.az.gov/
1.63. Product Data	"Product Data" means illustrations, Schedules, performance charts, instructions, brochures, diagrams and other information furnished by the Design Professional and/or Construction Contractor to illustrate Materials or equipment for some portion of the Work.
1.64. Project	"Project" means any and all activities necessary for realization of the Work. This includes but is not limited to design, Contract award(s), execution of the Work itself, and fulfillment of all Contract and warranty obligations.
1.65. Change Order Request	"Change Order Request" means a document that informs Agency of a proposed change in the Work and appropriately describes or otherwise documents such change including Construction Contractor(s)'s response of pricing for the requested change.
1.66. Punch List	"Punch List" means a list of items of Work to be completed or corrected by Construction Contractor after Substantial Completion. Punch Lists indicate items to be finished, remaining Work to be performed, or minor Work that does not meet quality or quantity requirements as required in the Contract Documents.

Uniform Terms and Conditions Design Professional Page 6 of 52

		10.19.20
--	--	----------

4.07 Decembra Str	
1.67. Record Documents	The term "Record Documents" shall mean those documents including, but not limited to, the updated version of the Construction Documents prepared by the Design Professional incorporating any Attachments, Exhibits, Change Orders, and information from the As-Builts and other data furnished by Construction Contractors to the Design Professional.
1.68. Request for Information	"Request for Information" (RFI) means a written request by Construction Contractor directed to Design Professional or Agency Designated Representative for a clarification of the information provided in the Contract Documents or direction concerning information necessary to perform the Work that may be omitted or unclear from the Contract Documents.
1.69. Reimbursable Expenses	 "Reimbursable Expenses" means a limited range of direct, actual Costs approved by the Agency for which the Design Professional can receive compensation under the Contract for amounts expended in the interest of the Project. Unless otherwise detailed in the Scope of Work or limited by other State policies, and subject to review and approval by the Agency, the following, and only the following, are the categories of Costs which may be Reimbursable Expenses: Subject to the Cost limitation of the State of Arizona Travel Policy, transportation Costs accrued in furtherance of Work and under the Contract and Agency authorized out-of-town travel and subsistence; Fees paid to governmental entities that ensure State compliance with any applicable laws or codes; Costs paid toward reproduction, plots, standard form documents, postal charges; If requested and approved by the Agency, Costs paid for surveys, requests and special requests. Design Professional shall not exceed the approved Reimbursable Expense amount stated in the Contract without prior written approval of the Agency through a Contract Amendment.
1.70. Samples	"Samples" mean representative physical examples of Materials, equipment, or workmanship used to confirm compliance with requirements and/or to establish standards for use in execution of the Work.
1.71. Schedule	"Schedule" means the timetable which sets forth pertinent milestones, reviews, critical path of activities and other deadlines for timely completion of the Work for the Project prepared by Design Professional or Construction Contractor and accepted by Agency. The Schedule shall not exceed the time limit current under the Contract Documents unless approved by Agency through a Contract Amendment.
1.72. Schedule of Values	"Schedule of Values" means the detailed breakdown of the original Contract Cost for the Materials, labor, and equipment necessary to accomplish the Design and/or Construction Work.
1.73. Scope of Work	"Scope of Work" means the Requirements Document of the Solicitation Documents. Scope of Work is inclusive of the Project program for design Work and the Construction Documents for performance of the construction Work.
1.74. Secure Locations	"Secure Locations" means those buildings and grounds (Sites) that require specific security-related criteria where access to the Work Site or the Site's daily operations negatively affects the Construction Contractor's productivity on a daily basis.
1.75. Services	"Services" as defined in A.R.S. § 41-2503(35), means "the furnishing of labor, time, or effort by [the] [C]ontractor or [S}ubcontractor which does not involve the delivery of a specific end product other than required reports and performance [but] does not include employment agreements or collective bargaining agreements." Services includes Building Work and the service aspects of software described in "Materials"
1.76. Shop Drawings	"Shop Drawings" mean the drawings, diagrams, illustrations, Schedules, performance charts, brochures, and other data prepared

|--|

		by Construction Contractor or its Subcontractors which detail a portion of the Work.
1.77.	Site	"Site" means the geographical location of the Work for the Project.
	Solicitation; Solicitation Documents	"Solicitation" and "Solicitation Documents" are defined in the
		Instructions to Offerors.
1.79.	Special Terms and General Conditions	"Special Terms and General Conditions" are contained in the
		Solicitation Documents.
1.80.	Specification	"Specification" has the meaning given in A.R.S. § 41-2561, which, for
		convenience of reference only, is " any description of the physical or
		functional characteristics, or of the nature of a material, service or construction item. Specification may include a description of any
		requirement for inspecting, testing or preparing a material, service or
		construction item for delivery." Specifications (if any are included in the
		Contract), are indexed in the <u>Scope of Work</u> and could be bound
		separately from the other documents forming the Contract.
1.81.	State	With respect to the Contract generally, "State" means the State of
		Arizona and its department, agency, university, commission, or board
		that has executed the Contract. With respect to administration or
		rights, remedies, obligations and duties under the Contract for a given
4.00	0	Order, "State" means each eligible Agency who has issued the Order.
1.82.	State Indemnitees	"State Indemnitees" means, collectively, the State of Arizona, its departments, agencies, universities, commissions, and boards and,
		and their respective officers, agents, and employees.
1.83.	State Fiscal Year	"State Fiscal Year" means the period beginning each July 1 and
1.00.		ending each June 30.
1.84.	Stipulated Sum (Fixed Price/Lump	"Stipulated Sum (Fixed Price/Lump Sum)" means the complete and
	Sum)	total amount the Construction Contractor is obligated to complete the
		Work barring unforeseen conditions, Agency change to the Scope of
		Work, or other circumstances set forth in the Contract.
1.85.	Subconsultant	"Subconsultant" as defined in A.R.S. § 41-2571, means any person,
		firm, partnership, corporation, association or other organization, or a combination of any of them, that has a direct Contract with Design
		Professional or another Subconsultant to perform a portion of the
		Work.
1.86.	Subcontract	"Subcontract" means any contract, express or implied, between
		Contractor and another party or between a Subcontractor and/or
		Subconsultant and another party delegating or assigning, in whole or
		in part, the making or furnishing of any Materials, the performing of
4.07	Outransfer	any Services, or the carrying out of any other aspect of the Work.
1.87.	Subcontractor	"Subcontractor" as defined in A.R.S. § 41-2503(38), is " a person who contracts to perform Work or render service to [C]ontractor or
		to another [S]ubcontractor as a part of a Contract with an Agency
		governmental unit" The Contract is to be construed as "a Contract
		with a Agency governmental unit" for purposes of the definition. Any
		Person carrying out an element of the Work who is neither a
		Contractor nor a representative of the State is a Subcontractor from
		the moment that Person first carries out that element of the Work,
		regardless of whether a Contract exists between that Person and the
1 00	Submittal Register	Contractor, then or subsequently. "Submittal Register" means a list provided by Construction Contractor
1.00.	ousininai negistei	of all items to be furnished for review and approval by Design
		Protessional and/or Agency and as identified in the Contract
		Professional and/or Agency and as identified in the Contract Documents including submittal dates.
1.89.	Substantial Completion	Professional and/or Agency and as identified in the Contract Documents including submittal dates. Substantial Completion" means the date determined and certified by
1.89.	Substantial Completion	Documents including submittal dates.
1.89.	Substantial Completion	Documents including submittal dates. Substantial Completion" means the date determined and certified by the Design Professional's and/or Agency Designated Representative's signature, when the Work, or a designated portion thereof, is
1.89.	Substantial Completion	Documents including submittal dates. Substantial Completion" means the date determined and certified by the Design Professional's and/or Agency Designated Representative's signature, when the Work, or a designated portion thereof, is sufficiently complete, in accordance with the Contract, so as to be
1.89.	Substantial Completion	Documents including submittal dates. Substantial Completion" means the date determined and certified by the Design Professional's and/or Agency Designated Representative's signature, when the Work, or a designated portion thereof, is sufficiently complete, in accordance with the Contract, so as to be operational, fit for the use intended, and so the Agency may occupy
	Substantial Completion	Documents including submittal dates. Substantial Completion" means the date determined and certified by the Design Professional's and/or Agency Designated Representative's signature, when the Work, or a designated portion thereof, is sufficiently complete, in accordance with the Contract, so as to be

Uniform Terms and Conditions Design Professional Page 8 of 52

	10.19.20

	the claimant is required to execute a waiver and release in exchange for or in order to induce the payment of a Progress Payment and the claimant asserts in the waiver that it has been paid the Progress Payment". Unconditional Waiver of Lien on Final Payment is "where the claimant is required to execute a waiver and release in exchange for or in order to induce payment of a Final Payment and the claimant asserts in the waiver that it has been paid the Final Payment".
1.91. Uniform Terms and Conditions	The "Uniform Terms and General Conditions" are made up of this document and whichever of the <u>Appendices</u> are indicated in the <u>Special</u> Terms and General Conditions as being applicable.
1.92. Unit Price Work	"Unit Price Work" means the Work, or a portion of the Work, paid for based on incremental units of measurement.
1.93. Work	"Work" means the totality of the Materials and the Services and all the acts of administration, creation, production, and performance necessary to fulfill and incidental to fulfilling all of Contractor(s)'s obligations and duties under the Contract in conformance with the Contract and applicable laws. "Work" shall refer to any and all authorized Basic and/or Additional Work.
1.94. Progress Schedule	"Progress Schedule" means the continually updated time Schedule prepared and monitored by Construction Contractor that accurately indicates all necessary appropriate revisions as required by the conditions of the Work and the Project while maintaining a concise comparison to the overall Schedule.

2.0 Contract Interpretation

2.1	Arizona Law	The Contract is governed by, and is to be interpreted in accordance with, the laws of the State of Arizona, including, but not limited to the Arizona Procurement Code, without consideration of conflict of laws principles.
2.2	Contract Order of Precedence	 COMPLEMENTARY DOCUMENTS. All of the documents forming the Contract are complementary and all provisions are to be interpreted as a single, united contract. If certain Work, requirements, obligations, or duties are set out only in one but not in another, Construction Contractor shall carry out the Work as though the relevant Work, requirements, obligations, or duties had been fully described in all, consistent with the other documents forming the Contract and as is reasonably inferable from them as being necessary to produce complete results. CONFLICTS. In case of any inconsistency, conflict, or ambiguity among the documents forming the Contract and their provisions, Contractor shall i) provide the better quality or greater quantity of Work or ii) comply with the more stringent requirements. If the foregoing requirements do not resolve the issue of inconsistency, conflict, or ambiguity, then the following contract documents and their provisions are to prevail in the following order, descending from most dominate to most subordinate, provided that, among categories of documents or provisions within the same sub-section below, the document or provision with the latest date prevails; information being identified in one document but not in another is not to be considered a conflict or inconsistency: a) Change Orders, in reverse chronological order; b) Solicitation Documents, in this order: i) Special Terms and Conditions; ii) Exhibits to the Special Terms and Conditions; iii) Federal Terms and Conditions; iv) Uniform Terms and Conditions; v) Scope of Work; v) Scope of Work; vi) Exhibits to the Scope of Work; and vii) Any other documents referenced or included in the Solicitation;

Uniform Terms and Conditions Design Professional Page 9 of 52

					10.19.20
			c) d) e) f) g)	Proposal including Task Schedules created as par Design Requirements; i) Specifications ii) Drawings (given d scaled measuremen Notice to Proceed; and Schedule	<i>r</i>
2.3 Implied	Ferms		Cor	ntract are a part of the Con	_
2.4 Referenc	es to Statute		con with stat	venience. If any definition i	ns includes statutory language for in the Contract references a statute ent statutory language, not the ct, will take priority in any
2.5 Usage		 Wh a) b) c) d) e) f) 	reference to "Design Prof reference to "Design Prof and/or Subcontractors wh suppliers, sub-suppliers, well as all of Design Profe and/or Subcontractor's re and employees" in every requires that it is a refere apart from Subconsultant Uses the permissive "may determinations, etc., the t A.A.C. R2-7-101(31) [Def right given to State using denotes discretion and fre regulatory or operative co circumstances, provided to whatever is in the best Uses the imperative "shal duties, etc., the term is to 101(43) [Definitions]. Cor be interpreted as an imper Uses the term "must" with etc., the term is to be inter strict necessity, and is to [the subject] is to be entitl or credited as being comp satisfying [the requirement otherwise, [the object] will non-compliant, non-confor Contract-related purposes Uses the term "might" witt action, etc., the term is to contingency or non-discret Uses the term "will" or the with respect to an event, phrase is to be interpreted	y" with respect to a party's actions, term is to be interpreted as in <i>finitions</i>]. For clarity of intent, any "State may" or a like construction, eedom to act so far as any onstraints permit in the relevant that the State's discretion extends interest of the State; II" with respect to a party's actions, be interpreted as in A.A.C. R2-7- nversely, the phrase "shall not" is to erative prohibition; n respect to a requirement, criterion, erpreted as conveying compulsion or be read as though written "must, if led to have [the object] considered pliant with, conforming to, or nt, criterion, constraint, etc.], II be considered or debited as being porming, or unsatisfactory for its	

(CONTRACTOR OF

Uniform Terms and Conditions Design Professional Page 10 of 52

		10.19.20
2.6	Independent Contractor	Design Professional is an Independent Contractor and shall act in an independent capacity in performance under the Contract. Neither party is or is to be construed as being to be the employee or agent of the other party, and no action, inaction, event, or circumstance will be grounds for deeming it to be so.
2.7	Severability	The provisions of this Contract are severable to the extent allowed under Arizona contract law. Any term or condition deemed or adjudged illegal or invalid is thereby stricken from the Contract and will not affect any other term or condition of the Contract.
2.8	Complete Integration	The Contract, including any documents incorporated into the Contract by reference and any authorized Contract Amendments and Change Orders, is intended by the parties to be a final and complete expression of their agreement. There are no prior, contemporaneous, or additional agreements, either oral or in writing, pertaining to the Contract. No course of prior dealings between the parties and no usage of the trade shall supplement or explain any terms used in this document and no other understanding either oral or in writing can independently bind the Agency to changes to the Contract. The Agency may avoid any unauthorized modifications to the Contract.
2.9	No Waiver of Rights	Either party's failure to insist on strict performance of any term or condition of the Contract is not, and is not to be construed as being, nor will it be deemed, a waiver of that term or condition or a bar to, or diminishment of the right of, subsequent enforcement of any term or condition.
3.0	Contract Administration and C	Dperation
3.1.	Term of Contract	The term of the Contract will commence on the date indicated on the Acceptance and continue for the period specified in the <u>Special Terms and General Conditions</u> unless canceled, terminated, or permissibly extended. If the <u>Special Terms and</u> <u>General Conditions</u> do not specify a period, then the Contract will remain in force for that period required for Final Completion of the Work for the Project, including required extensions thereto, unless discontinued by any of the several provisions contained elsewhere in the Contract, but no longer than 5 years total. Agency has no obligation to extend or renew the Contract past the initial term.
3.2.	Contract Extensions	Agency may at its discretion extend the initial Contract term in increments of one or more months and do so one or more times, provided that, the maximum aggregate term of the Contract including extensions cannot exceed the period specified in the Special Terms and Conditions. If the Special Terms and Conditions do not specify a period, then a reasonable period of time, but no more than an aggregate of 5 (five) years. Nothing herein shall negate Contractor's obligation to continuously perform the Work with adequate manpower and due diligence.
3.3.	Notices and Correspondence	 TO DESIGN PROFESSIONAL. Unless stated otherwise in the <u>Special Terms and General Conditions</u>, Agency shall: a) Address all Contract correspondence other than formal notices to the email address indicated as "Default for Type" for "General Mailing Address" in Design Professional's corresponding APP Vendor Profile; and b) Address any required notices to Design Professional to the "Contact Name and Title" at the "Mailing Address" indicated in the Contract Documents. TO AGENCY. Unless stated otherwise in the Special Terms and General Conditions, Design Profewssional shall:

Uniform Terms and Conditions Design Professional Page 11 of 52

	10.19.20
3.4. Contractor Performance Evaluation	 a) Address all Contract correspondence and formal notices to the Agency Procurement Officer indicated in Exhibit A "Agreement." b) CHANGES. Agency may change the designated Procurement Officer, update contact information, or change the applicable mailing address. 3) Notice is deemed served when emailed or mailed. The Agency may evaluate the Contractor's performance during the progress of the Work, at completion of a phase of Work for the Project, completion of the Work, or any of the foregoing. The
	Agency shall retain the evaluation(s) in the procurement file. The Agency will use the evaluation(s) in determining the responsibility of the Contractor for any award of a future contract for the next five (5) years. If the Contractor or any of the Contractor's Subconsultants and/or Subcontractors commit a breach of the contract for the project, the Agency will use the responsibility analysis for future projects for five (5) years after the date of breach of the Contractor's Subconsultants and/or Subcontractors (where applicable) for future contract awards with the Agency or subcontracts on Agency Projects. Contractor may comment or take exception to any rating in accordance with the Agency's protest policies.
3.5. Signing of Contract Amendments	 Counter-signature – or "approval" in APP, in the case of a Change Order – is not required to give effect if the Contract Amendment only covers either: a) Extension of the term of the Contract within the maximum aggregate term; b) Revision to Procurement Officer appointment or contact information; or c) Modifications of a clerical nature that have no effect on terms, conditions, price, scope, or other Material aspect of the Contract. In every case other than those listed in a), b), and c) above, the signatures of all required parties – or "approval" in APP, in the case of a Change Order – is necessary to give it effect. If the initial scope of the Project is changed materially by the Agency, the compensation will be equitably adjusted through negotiation upon execution of a Contract Amendment.
3.6. Click-Through Terms and Conditions	Unless expressly stated otherwise in the <u>Special Terms and</u> <u>Conditions</u> , if either party uses a web based ordering system, an electronic purchase order system, an electronic order acknowledgement, a form of an electronic acceptance, or any software based ordering system with respect to the Contract (each an "Electronic Ordering System"), the parties acknowledge and agree that an Electronic Ordering System is for ease of administration only, and Contractor is hereby given notice that the persons using Electronic Ordering Systems on behalf of Agency do not have any actual or apparent authority to create legally binding obligations that vary from the terms and conditions of the Contract. Accordingly, where an authorized Agency user is required to "click through" or otherwise accept or be made subject to any terms and conditions in using an Electronic Ordering Systems, any such terms and conditions are deemed void upon presentation. Additionally, where an authorized Agency user is required to accept or be made subject to any terms and conditions in accessing or employing any Materials or Services, those terms and conditions will also be void.
3.7. Books and Records	 RETAIN RECORDS. By A.R.S. § 41-2548(B), Design Professional shall retain and shall contractually require each Subconsultant and/or Subcontractor to retain books and records

			10.19.20
		2) 3)	relating for any Cost and pricing data submitted in satisfaction of § 41-2543 for the period specified in the statute. RIGHT TO AUDIT. The retained books and records are subject to audit by Agency during that period. By A.R.S. § § 35-214 and 41-2548(B), Design Professional shall retain and shall contractually require each Subconsultant and/or Subcontractor to retain books and records relating to performance under the Contract for the period specified in the statute and those retained books and records are subject to audit by Agency during that period. AUDITING. Design Professional or Subconsultant and/or Subcontractor shall either make all such books and records under subparagraphs 2) and 3) available to Agency at all reasonable times or produce the records at a designated Agency office on Agency's demand, the choice of which being at Agency's discretion. For the purpose of this paragraph, "reasonable times" are during normal business hours and in such a manner so as to not unreasonably interfere with normal business activities. Any Person who obstructs or impairs an audit being conducted or about to be conducted in relation to any contract or subcontract with the State may be found guilty of a class 5 felony under A.R.S. § 35-215.
3.8. Design Registr	Professional Licenses and ation		Design Professional and all Subconsultants and/or Subcontractors, persons, firms and/or entities in the service of Design Professional shall maintain current licenses, registrations, including but not limited to registration under the Arizona Board of Technical Registration, and permits required for the operation of its business in general, for its operations under the Contract, and, unless expressly stated otherwise in the <u>Special Terms and</u> <u>General Conditions</u> , for the Work itself.
3.9. Owners Propert	ship of Intellectual ty	2) 3) 4)	 PRE-EXISTING MATERIAL. All pre-existing software and other Materials developed or otherwise obtained by or for Design Professional or its affiliates independently of the Contract are not part of the Work instruments of service to which rights are granted state under subparagraph 3) below, and will remain the exclusive property of Design Professional, provided that: a) any derivative Works of such pre-existing Material or elements thereof that are created pursuant to the Contract are part of the Work instruments set forth below; b) any elements of derivative Work of such pre-existing Material that was not created pursuant to the Contract are not part of that Work instrument; and c) except as expressly stated otherwise, nothing in the Contract is to be construed to interfere or diminish Design Professional's or its affiliates' ownership of such pre-existing Materials. JOINT DEVELOPMENTS. The parties may each use equally any ideas, concepts, know-how, or techniques developed jointly during the course of the Contract, and may do so at their respective discretion, without obligation of notice or accounting to the other party. DEVELOPMENTS OUTSIDE OF CONTRACT. Unless expressly stated otherwise in the <u>Special Terms and Conditions</u>, the Contract does not preclude Design Professional from developing competing Materials delivered or to be delivered to Agency hereunder. OWNERSHIP AND USE OF DOCUMENTS. The Design Professional agrees all Project information, including but not limited to, notes, plans, Drawings, Specifications photos, studies, computer programs, Schedules, technical reports, prototypes and AutoCAD design backgrounds, or other Work instruments

Uniform Terms and Conditions Design Professional Page 13 of 52

			10.19.20
	5) 6) 7) 8)	The Design Professional agree AutoCAD design backgrounds outside the scope of this Contr The Design Professional shall quality copies on Agency-appre and reproducible copies of spe such copies will be reimbursed Professional as a Reimbursabl Professional may not provide of instruments in any format for th written approval of the Agency. The Design Professional agree Drawings, Specifications photo Schedules, technical reports, of specified to be delivered under be paid for by the Agency, is/a Agency in effect on the date of the right to use, duplicate and of part, in any manner and for wh do so. The Design Professiona claim Ownership of the Work in Project. The Design Professiona claim Ownership of the Work in Project. The Design Professiona subconsultants and/or Subcom provisions to achieve the purpo Design Professional shall give any and all necessary permiss. Work, unless explicitly exclude ability to create new structures Specifications and Drawings. In the event of any dispute with any breach or default of this Cor right to possess and use any a Drawings, documentation, repr and any other Materials necess. Notwithstanding anything to the shall have unlimited rights to cor Professional also grants to Age such any and all Design Reco prepared by Design Professional cost to Agency, regardless of Professional also grants to Age such any and all Design Reco professional also grants to Age such any and all Design Reco professional also grants to Age such any and all Design Reco professional also grants to Age such any and all Design Reco professional also grants to Age such any and all Design Reco professional also grants to Age such any and all Design Reco professional also grants to Age such any and all Design Reco professional also grants to Age such any and all Design Reco professional as grants to Age such any and all Design Reco professional as grants to Age such any and all Design Reco professional as grants to Age such any and all Design Reco professional as grants to Age such any and all Design Reco prof	rk, are the property of the Agency. as to continue to supply the Project to the Agency for other projects act, as requested by the Agency. also provide the Agency high oved media of updated drawings cifications as specified. The cost of by the Agency to the Design e Expense. The Design copies of or otherwise use the Work he Project without the express prior as that items such as plans, so, studies, computer programs, or other Work products which is/are this Contract, and which is/are to re subject to the rights of the this Contract. These rights include disclose such items in whole or in atever purpose, and to have others al shall not copyright or otherwise natever purpose, and to have others al shall not copyright or otherwise natever purpose, and to have others al shall not copyright or otherwise natever purpose, and to have others al shall not copyright or otherwise natever purpose, and to have others al shall not copyright or otherwise natever purpose, and to have others al shall not copyright or otherwise natever purpose, and to have others al shall not copyright or otherwise natever purpose, and to have others al shall not copyright or otherwise natever purpose, and to have others al shall not copyright or otherwise natever purpose, and to have others al shall not copyright or otherwise natever purpose, and to have others al shall not copyright or otherwise natever purpose, and to have others al for the Project at no additional degree of completion. Design ency a royalty free license to all rements and/or Materials to which rt any rights under patent or y assigns outright and exclusively to y and all Design Requirements and/or ad in, the Project. part of its agreements with any consultants, will secure such license uch entity to all copyrights to any and hold or Materials created for, or used in, effend, indemnify and hold Agency by such entities for copyright or patent
3.10. Subcontracts and Subconst	1) 2)	candidate Subconsultants and their Offer if required. ADDITIONAL NAMES. Design Subcontract without first obtain consent with any prospective S (a) was not listed at time of Co	execution, Design Professional's Subcontractors were identified in Professional shall not enter into a ning Procurement Officer's written Subcontractor or Subconsultant that ntract execution or (b) is for any as other than the ones for which

Uniform Terms and Conditions Design Professional Page 14 of 52

	10.19.20	
	 they were previously consented. For either case (a) or (Professional shall submit a written request sufficiently ir of the need date for those Materials or services so that performance under the Contract is not impaired. Procur Officer may request any additional information he or she determines is necessary to assess the submittal, and m withhold consent pending it. FLOW-DOWN. Design Professional shall incorporate th provisions, terms, and conditions of the Contract into ev Subcontract or Subconsultant agreement by inclusion o reference. Subconsultants and/or Subcontractors shall incorporate the provisions, terms, and conditions of the into their Subcontracts and Subconsultant agreements. making any post-execution consent requests, Design Professional shall include its warrant that it will do the s the pending Subcontractor and/or Subconsultant covered request. Entering into Subcontract and/or Subconsultant agreement will not relieve Design Professional of any of obligations or duties under the Contract, including, amo things, the duty to supervise and coordinate the Work o Subconsultant sand/or Subconsultant agreement will create be construed as creating any contractual relationship be Agency and the Subconsultant. 	n advance rement e hay very or by Contract When ame for ed by the t f its ong other of ed in any e or is to
3.11. Non-Discrimination	Contractor shall comply with [Arizona] State Executive (2009-09 and all other applicable federal and state laws, and regulations regarding non-discrimination and equal opportunity, including the Americans with Disabilities Ac	, rules,
3.12. E-Verify Requirements	As required by A.R.S. § 41-4401, Design Professional a Subconsultant and/or Subcontractor warrants compliand A.R.S. § 23-214(A) and all federal immigration laws and regulations relating to the immigration status of their em Design Professional and each Subconsultant and/or Subcontractor acknowledge that under A.R.S. § 41-440 retains the legal right to inspect the papers of any Desig Professional or Subconsultant and/or Subcontractor em who Works under the Contract to ensure that Design Pr or Subconsultant and/or Subcontractor employee is in o with the foregoing warranty and understands that a breat foregoing warranty under shall be deemed a material br the Contract that is subject to penalties up to and includ termination of the Contract.	and each ce with d any nployees. 01, Agency gn nployee rofessional compliance ach of the reach of
3.13. Offshore Performance of Certain Work Prohibited	Contractor shall only perform those portions of the Work directly serve the Agency or its clients and involve acce secure or sensitive data or personal client data within th territories of the United States. Unless specifically state otherwise in the Specifications or the Scope of Work, th paragraph does not apply to indirect or overhead servic redundant back-up services, or services that are incider performance under the Contract. This provision applies performed by Subconsultants and/or Subcontractors at	ess to ne defined d nis xes, ntal to to Work
3.14. Other Contractors	 Agency may undertake with its own forces or award oth contracts to the same or Other Contractors for additionar related Work. In such cases, Contractor shall cooperate fully with Age employees and such Other Contractors and carefully confit, connect, accommodate, adjust, or sequence its Worl related Work by others. Where the Contract requires handing-off Contractor's W 	ner al or ency's pordinate, k to the
	others, Contractor shall cooperate as Agency instructs in the necessary transfer of its Work product, services, or Agency or the Other Contractors.	regarding

Uniform Terms and Conditions Design Professional Page 15 of 52

		10.19.20
3.15. Work on State Premises	 the proequation equation equation equation equation equation Agender A	htractor shall not commit or permit any act that interferes with Agency's or Other Contractor's performance of their Work, vided that, Agency shall enforce the foregoing section itiably among all its Contractors so as not impose an easonable burden on any one of them. ency shall be reimbursed by Contractor for Costs incurred by ency which are payable to an Agency separate Contractor ause of delays, improperly timed activities, or defective design construction by Contractor. Agency will equitably adjust the ntract by Change Order for Costs incurred by Contractor ause of delays, improperly timed activities, damage to the rk or defective design or construction by an Agency separate tractor. build the Work be interrupted or hindered by the Agency or ntractor, the Contractor shall be entitled to an extension of time suant to the paragraph "Change Orders" in an amount equal such interruption or hindrance but such interruption or drance shall not constitute a claim for damages nor for loss of icipated profits by the Contractor. MPLIANCE WITH RULES. Construction Contractor is ponsible for ensuring that its personnel comply with Agency's as, regulations, policies, documented practices, and sumented operating procedures while delivering or installing terials or performing Services on State's grounds or in its lities. Construction Contractor shall comply with State security uirements in order to deliver, install, or perform at that
	con par 2) PR Con dar sha Con Sut inst inco Con a tin ava	ticular location without entitlement to any additional inpensation or additional time for performance even if those ticular requirements are not expressly stated in the Contract. OTECTION OF GROUNDS AND FACILITIES. Construction intractor shall deliver and perform the Services without maging any State grounds or facilities. Construction Contractor Ill promptly repair or replace any damage caused by instruction Contractor, or any of its Subcontractors or boconsultants, at its own expense, subject to whatever ructions and restrictions Agency needs to make to prevent onvenience or disruption of operations. If Construction intractor fails to make the necessary repairs or replacements in mely manner, Agency will be entitled to exercise its remedies illable under the Contract (including the Design Professional
3.16. Background Checks	 Eac info sen defi und whi Cor bac sub Cor net and 	vices Contract.) th of Contractor personnel who is performing Work with rmation technology, correctional facilities, proprietary and sitive data or confidential or access-restricted or in an Agency ned secured area, or as otherwise requested by Agency, must ergo the security clearance and background check procedure, ch may include fingerprinting. thractor shall obtain and pay for the security clearance and kground check and shall incorporate Cost in the Contractor offer mitted to perform the Work. thractor personnel who will have administrator privileges on a State work must additionally provide identity and address verification undergo State-specified training for unescorted access, fidentiality, privacy, and data security.
3.17. Advertising, Publishing and Promotion of Contract	Cor infc with Pro	ntractor shall not advertise, promote, or otherwise use rmation concerning the Contract for commercial benefit rout the prior written approval of Procurement Officer. The curement Officer may withhold approval at his or her cretion.
3.18. Inspection and Testing	By par rela	A.R.S. § 41-2547, State may at reasonable times inspect the tof Contractor's or Subcontractors' plant or places of business ited to performance under the Contract. Accordingly, htractor agrees to permit (for itself) and ensure (for

Uniform Terms and Conditions Design Professional Page 16 of 52

	10.19.20
4.0 Costs and Payments	Subcontractors) access for inspection at any reasonable time to its facilities, processes, and services. State may inspect or test, at its own Cost, any finished goods, work-in-progress, components, or unfinished materials that are be supplied under the Contract or that will be incorporated into something to be supplied under the Contract. If the inspection or testing shows non-conformance or defects, then Contractor will owe State reimbursement or payment of all Costs it incurred in carrying out or contracting for the inspection and testing, as well as for any re-inspection or re- testing that might be necessary. Neither inspection of facilities nor testing of goods, work, components, or unfinished materials will of itself constitute acceptance by State of those things.
4.1. Additional Work	 Compensation for Additional Work will be in accordance with basis for compensation established in the Contract. Compensation for Additional Services will be determined either on a Standard Hourly Rate with a Not-to-Exceed-Maximum- Amount ("Standard Hourly Rate") fee basis in Contract or as a Stipulated Sum fee basis, as amended to the Contract, through Contract Amendment or Change Order, by the Procurement Officer. Before Additional Work may be performed or additional Costs incurred beyond the specified approved Contract for the Project, both the Agency and Construction Contractor must execute a written Contract Amendment or Change Order. The Agency is not responsible for actions of the Construction Contractor or its Subconsultants and/or Subcontractors for any Costs incurred by the Construction Contractor or its Subconsultants and/or Subcontractors relating to Additional Work prior to the execution of a Contract Amendment or Change Order. Any Additional Work must be performed within the time period established in the Contract Amendment or Change Order for the Project. The Agency shall only approve of requests for Additional Work due to: a) need for additional design; b) acts or omissions of the Agency; c) significant changes to the Project; or d) need to provide services due to the default of another Contractor.
4.2. Applicable Taxes	 CONTRACTOR TO PAY ALL TAXES. Agency is subject to Arizona Transaction Privilege Tax (TPT). Therefore, Arizona TPT applies to all sales under the Contract and Arizona TPT is Contractors' responsibility (as seller) to remit. Contractor's failure to collect Arizona TPT or any other applicable sales or use taxes from Agency will not relieve Contractor of any obligation to remit sales or use taxes that are due under the Contract or laws. Unless stated otherwise in the <u>Commercial Document</u>, all prices therein include Arizona TPT as well as every other manner of transaction privilege or sales/use tax that is due to a municipality or another state or its political subdivisions. Contractor shall pay all federal, state, and local taxes applicable to its operations and personnel. TAX INDEMNITY. Contractor shall hold Agency harmless from any responsibility for taxes or contributions, including any applicable damages and interest, that are due to federal, state, and local authorities with respect to the Work and the Contract, as well any related Costs; the foregoing expressly includes Arizona TPT, unemployment compensation insurance, social security, and workers' compensation insurance.

	10.19.20
4.3. Application for Progress Payment, Contractor	 The Construction Contractor shall submit to the Agency an itemized Application for Payment completed and accepted in accordance with the Schedule of Values. Such application shall be supported by such data substantiating the Construction Contractor's right to payment as the Agency requires below, and reflecting retainage, if applicable. The Application for Payment (Exhibit H) shall: a) be an accurate reflection of the progress of the Work; b) contain line items based on the Schedule of Values; c) contain line items based on the Schedule of Values; c) bear the notarized signature of Construction Contractor; d) bear the signature of the Design Professional if contracted to perform Construction Phase Services; e) only be paid after approval by the Agency Designated Representative; and f) not include Subcontracted items or any other items for which Construction Contractor does not intend to pay. Unless otherwise stated in the Special Terms and Conditions, the Construction Contractor is required to include the following, at a minimum: a) Construction Contractor Name and Address; b) Subcontractor's Name, Remit to Address and Contact Information, and c) All backup documentation to Application for Payment, detailed prior to showing subtotals for each item for Construction Contractor and Subcontractor (e.g., labor detail, Materials, and tax listed in separate line items). Applications for Payment may include requests for payment on account of changes in the Work which have been properly authorized and executed by the Agency in Change Orders. Applications for payment shall be made on account of Materials and equipment delivered and suitably stored at the Site for s
	 stored off the Site. 7) The Construction Contractor further warrants that upon submittal of any Progress Application for Payment all Work for which Applications for Payment have been previously issued and payments received from the Agency shall, to the best of the Construction Contractor's knowledge, information and belief, be free and clear of liens, claims, security interests, or encumbrances in favor of the Construction Contractor, Subcontractors, Material suppliers, or other persons or entities making a claim by reason of having provided labor, Materials, and equipment relating to the Work by also submitting a Conditional Waiver of Lien form with the Application for Payment. 8) At the time of submittal for payment of retainage, if requested by the Construction Contractor prior to the Final Application for Payment, and at Final Application for Payment, Construction Contractor shall provide the Agency with all items contained in Contractor Project Closeout-

Uniform Terms and Conditions Design Professional Page 18 of 52

		10.19.20
--	--	----------

			Exhibit M.
		9)	Agency shall not accept improper or incorrect Application for Payment until corrections have been made.
		10)	A Progress Payment shall not be made to Construction Contractor until the Application for Payment has been certified.
		11)	Final Payment shall not be made to Construction Contractor until a Final Completion Certificate has been issued.
4.4.	Application for Payment Certification by Design Professional		The Design Professional will review and certify the amounts due to the Contractor and will issue Certificates for Payment in such amounts based on the Design Professional's inspections, observations and evaluations of the Construction Contractor's Applications for Payment for the Work accepted and in conformance to the Contract.
4.5.	Automated Clearing House		Agency may pay invoices through an Automated Clearing House (ACH). In order to receive payments in this manner, Design Professional must complete an ACH Vendor Authorization Form (form GAO-618) within 30 (thirty) days after the effective date of the Contract. The form is available online at: https://gao.az.gov/afis/vendor-information
4.6.	Availability of Funds		By A.R.S. § 35-154, every Agency payment obligation under the Contract is conditioned on the availability of funds appropriated for payment of that obligation. If funds are not appropriated and available for continuance of the Contract, Agency may terminate the Contract at the end of the period for which funds are available, or, at Agency's discretion, allow appropriate amendment to the Contract. No liability will accrue to Agency if it exercises the foregoing right or discretion, and Agency will have no obligation or liability for any future payments or for any damages as a result of having exercised it.
4.7.	Basis of Compensation; Design Professional	1) 2) 3)	 The Agency will compensate the Design Professional monthly upon the Agency acceptance described in the approved Contract, as it may be subsequently amended, upon receipt of an accurate monthly billing statement providing evidence of expenses. No advance payment will be made to the Design Professional prior to rendering services. Payments for Basic Work will be made monthly in proportion to services performed within each phase of services as reasonably determined by Agency. Agency shall have the right to review and inspect any and all records including, but not limited to, time sheets and work product of Design Professional, in order to determine whether the amount requested is accurate. Payment applications will be reviewed by the Agency to ensure the following information is included and correct or Agency will not approve the payment: a) Figures on the payment application shall be accurately calculated; b) Labor rates, reimbursables, fixed fee, subconsultant rates, overhead and fringe benefits listed on the payment application shall be consistent with the terms of the Contract; c) Charges included on the payment application shall be for Work included in the Contract or an amendment to the Contract; d) Design Professional principals shall bill at staff rates when acting as staff. Principals may only bill at the hourly rate of Principals when acting in that capacity. The Design Professional shall provide documentation with each payment request that clearly indicates how that individual's time is allocated and the justification for that allocation;

Uniform Terms and Conditions Design Professional Page 19 of 52

	10.19.20
	 e) Subconsultant is an approved subconsultant in the Contract or an amendment to the Contract and any subconsultant approved for a specific discipline is being paid when Work in that discipline is performed; f) Reimbursable Expenses claimed are permitted by the terms of the Contract and supporting documentation is provided with the invoice; and g) If invoice has item(s) in dispute. Design Professional may resubmit an invoice for the undisputed amount or wait for payment until the dispute has been resolved. h) Design Professional shall not be entitled to receive payment until they have provided Agency with conditional and/or unconditional lien waivers, including waivers from Subconsultants, along with a detailed description of services and such other documents showing compliance with the terms of the contract as Agency may reasonably require in connection with requests for payment.
4.8. Contracted Labor Rate	 The contracted labor rates are the fully-burdened and marked-up billing rates for Construction Contractor's labor Exhibit C The rates are deemed to be inclusive of the actual gross wages plus all: Applicable payroll taxes, non-payroll employer burden, workers' compensation contributions and health and welfare benefit contributions; Retirement or other pension contributions, vacation, sick time or other paid leave allowances and the like; Required home office support, corporate or subordinate licenses or registrations, corporate insurance, professional association fees, advertising, time and travel by any of Construction Contractor personnel other than billable personnel and any bonuses or other incentives for all personnel (including billable Personnel); Insurance coverages to be provided by Construction Contractor under the Contract; and Profit. The rates are not subject to overtime or other premium time unless expressly stated otherwise. Prior to Construction Contractor finalization of Subconsultants and/or Subcontractors contracts, the Agency shall review and approve Subconsultants and/or Subcontractors hourly rates.
4.9. Delay	 approve Subconsultants and/or Subcontractors notify rates. Pursuant to A.R.S. § 41-2617, if the Construction Contractor incurs damages due to a delay for which the Agency and the Construction Contractor agree is (a) the fault of the Agency, (b) unreasonable under the circumstances, and (c) was not already contemplated by the terms of the agreement, then the Agency and the Construction Contractor may negotiate for the recovery of those damages. In this case, if the Construction Contractor sustains damages, which could not have been avoided by the judicious handling of forces, equipment and materials; or by reasonable revision in the Construction Contractor's schedule of operation, the compensation for such damages will be negotiated. The Construction Contractor shall notify the Agency of the condition in writing by the next work day. Failure to notify the Agency within this time may be just cause to reject any claims for such damages.
	2) DELAYS THAT RESULT IN A MATERIAL CHANGE TO THE DATE OF SUBSTANTIAL COMPLETION MAY RESULT IN LIQUIDATED DAMAGES. Agency may assess Liquidated Damages (as detailed in the Special Terms and General

	10.19.20
	 Conditions) for unexcused and/or unauthorized delays, caused by the Construction Contractor, or any of its Subconsultants or Subcontractors, that result in a material change to the date of Substantial Completion of the Work. Construction Contractor is responsible for any reasonably foreseeable causes of delay. TIME EXTENSION. Within one business day after the Construction Contractor should have reasonably known of the occurrence prompting the request for an extension of time, the Construction Contractor must deliver a preliminary written notice to the Agency describing the general nature of the request. Within a reasonable time after the preliminary notice, the Construction Contractor is entitled. Construction Contractor may submit written time extension requests to the Agency for approval if the Construction Contractor is delayed through no fault of its own. CONCURRENT DELAYS. To the extent the Construction Contractor is entitled to an extension of time due to an Excusable Delay but the performance of the Work would have been suspended, delayed or interrupted by the fault or neglect of the Construction Contractor and/or any of its subcontractors/subconsultants, and suppliers, the Construction
	 Contractor shall not be entitled to any additional Costs for the period of such concurrency. 5) CHANGE ORDER. Any requests for time extensions must be approved by Agency and/or Design Professional through the use of a Change Order.
4.10. Equipment Rental	Construction Contractor rental of construction equipment to perform the Work shall be recorded and billed to the Agency to the nearest one-half hour and shall cease when equipment is no longer necessary for the Work. Billing shall include sales tax, the Cost of transportation, loading, unloading, and dismantling and removal thereof in accordance with the rental agreement terms and Agency approved Construction Contractor markup for overhead and profit. Rates for Construction Contractor -owned equipment shall be approved by Agency prior to equipment use. Construction Contractor shall not charge Agency for equipment that is inoperable due to breakdown or used for Work not related to the Project.
4.11. Invoicing Requirements	 Design Professional shall only submit invoice that match the prices in the Contract, including the pricing in any authorized Change Order or Contract Amendment. Design Professional shall comply with the following requirements for the submittal of invoices to the Agency. The Agency may, in its discretion, choose to deny all or some of an invoice due to the Design Professional's failure to fully comport invoices to these requirements: a) TRAVEL. Travel Costs will be reimbursed according to the policies and procedures set by the State of Arizona's General Accounting Office as specified in the Contract. See http://www.gao.az.gov/travel/ for Current Policies. Any anticipated travel Costs should be detailed as a line item in Design Professional's fee proposal. b) LODGING, SUBSISTENCE, AND MILEAGE. Design Professional and Agency must agree upon any lodging and subsistence Costs before these Costs are incurred for the Design Professional to receive reimbursement for these Costs. If lodging and subsistence Costs are incurred fifty (50) or more miles from the Design Professional primary place of business, then the invoice must include all receipts

Uniform Terms and Conditions Design Professional Page 21 of 52

	10.19.20
	 associated with these Costs for full reimbursement. Design Professional will only be reimbursed for mileage for travel fifty (50) or more miles from the Design Professional's primary place of business. c) PREVIOUS MONTH. Design Professional shall only submit invoices for authorized and accepted Work, and Reimbursable Expenses for the previous month less any applicable penalties. d) INVOICES MUST BE VERIFIED. Design Professional shall bear the primary responsibility for the validity of any and all invoices, and shall certify that its invoices have been examined and that the contents therein are accurate and consistent with the Design Professional's books of account. e) INVOICE REQUIREMENTS STRICTLY ENFORCED. Agency reserves the right to reject, or partially pay, any invoices that are improperly addressed, or contain inaccurate or incomplete information. Agency is not responsible for any financing or other charges due to payments that are late due to Design Professional error. f) FINAL INVOICE. Design Professional shall submit an invoice that contains a clear designation that it is the "Final" invoice when the Work is complete. Agency is under no obligation to release payment on a Final Invoice until the Design Professional has fully documented the final completion of the Work and Agency has reviewed and agreed with the amount due on the Final Invoice.
4.12. Interest	Payments to Design Professional are issued pursuant to A.R.S. § 35-342. If payments to Design Professional are allowable and 30 days past due, interest shall accrue at the rate detailed in A.R.S. § 44-1201.
4.13. Payment	 PAYMENT NOT ACCEPTANCE. Agency payment of any invoice or Application for Payment shall not be construed to be acceptance of the Work. PAYMENT DEADLINE. Agency shall make payments in compliance with Arizona Revised Statues Titles 35 and 41. Unless and then only to the extent expressly stated otherwise in the Contract, Agency shall make payment in full for Materials that have been delivered and accepted and Work that has been performed and accepted within the time specified in A.R.S. § 35- 342 after both of the following become true: All of the Materials being invoiced have been delivered or installed (as applicable) and accepted and all of the Work being invoiced have been performed and accurate invoice in the form and manner called for in the Contract and reasonably requested by the Agency, provided that, the Agency will not make or be liable for any payments to Contractor until Contractor has registered properly in APP and provided a current IRS Form W-9 to Agency unless excused by law from providing one. PAYMENTS ONLY TO CONTRACTOR. Unless compelled otherwise by operation of law or order of a court of competent jurisdiction, Agency shall make payment to Contractor under the federal tax identifier provided.
4.14. Project Suspension by Agency	If the Project is suspended or abandoned in whole or in part for more than six (6) months by the Agency, the Design Professional will be compensated for only the following: all Work performed prior to receipt of written notice from the Agency of such suspension or abandonment together with Reimbursable Expenses then due. The Agency will not be liable for any

Uniform Terms and Conditions Design Professional Page 22 of 52

	10.19.20
4.15. Recovery of Overpayment	 additional expenses or any damages, including but not limited to consequential damages. If the Project is resumed after having been suspended for more than six (6) months, the Design Professional's compensation may be equitably adjusted through negotiation. If the parties cannot agree on an adjustment, Agency may terminate the Agreement. If Agency determines that an over-payment has been made to Design Professional on any prior invoice, it shall inform Design
	Professional of the amount and date of the over-payment and may deduct the over-paid amount from amounts then or thereafter due to Design Professional.
4.16. Reimbursable Expenses	Reimbursable expenses may be billed in accordance with Uniform Terms and General Conditions for amounts expended in the interest of the Project. Design Professional shall not exceed the approved reimbursement amount without prior written approval of the Agency through a Contract Amendment.
4.17. Standard Hourly Rate Basis for Work	For Projects compensated on a Standard Hourly Rate basis, the invoice statement for all Applications for Payment must show the name of all employees and Subconsultants and/or Subcontractors charging time to the Project, the amount of time billed, the fully burdened hourly rates, and the activities performed by each person listed. If requested by Agency, payroll time sheets and any other documents reasonably requested by Agency to verify amounts requested, shall be provided.
4.18. Stipulated Sum (Fixed Price/Lump Sum) Basis for Work	For Projects compensated on a Stipulated Sum basis, the invoice statement for Application for Payment must include a brief summary of the progress and completion of tasks in accordance with the Work to substantiate the percentage of completion of Work by phase during the time period covered by the Application for Payment. Any Costs in excess of approved maximum not to exceed Contract amount incurred prior to Agency's written consent will not be paid unless Costs were incurred at the Agency's direction.
4.19. Notification of Payments	Any Design Professional, Subconsultant, or Subcontractor may notify the Agency in writing requesting that it be notified by the Agency in writing within five days from payment of each progress payment made to a Construction Contractor. If a request is made to the Agency as described in this paragraph, the request remains in effect for the duration of the requestor's Work related to this Contract pursuant to A.R.S. § 41-2577. Note that this paragraph in no way limits the Design Professional's and/or Construction Contractor's ability to withhold any application or certification due to issues related to the Work of a Design Professional, Subconsultant, or Subcontractor as described in A.R.S. § 41-2577(D).

5.0

Contract Changes

5.1. Assignments and Delegation	1)	NOTICE AND ASSIGNMENT OF TRANSFER OF OWNERSHIP. In additions to Sections 5.2 and 5.3 below, the Agency will require immediate notice and explicit assignment, pursuant to this Section, of any change to the underlying ownership of the Contractor. For the purpose of this Section, a change in ownership is defined by the transfer of any ownership interest or control of fifty percent or more of the Contractor, regardless of the form under which the Contractor conducts its business. IN WHOLE. Contractor shall not assign in whole or in part its rights or delegate in whole or in part its duties under the Contract without (a) notifying the Procurement Officer in advance and (b) obtaining the Procurement Officer's prior written consent, which the Procurement Officer may withhold at his or her discretion. If Contractor's proposed assignment or delegation stems from a split, sale, acquisition, or any other change in control, then no such consent will be given in any event without the

Uniform Terms and Conditions Design Professional Page 23 of 52

	10.19.20	
	assignee or delegate giving the Agency satisfactory and equivalent evidence or assurance of its financial soundness, competency, capacity, and qualification to perform as that which Contractor possessed when Agency first awarded it the Contract. Such determinations shall be made by the Procurement Officer in its sole discretion.	
5.2. Contract Amendments	The Contract is issued for Agency under the authority of Procurement Officer. Only a Contract Amendment can modify the Contract, and then only if it does not change the Contract's general scope.	
5.3. Unauthorized Contract Amendments or Orders are Void	Purported changes to the Contract by a person not expressly authorized by Procurement Officer or made unilaterally by Design Professional will be void and without effect; Design Professional will not be entitled to any claim made under the Contract based on any such purported changes. The Design Professional is on notice that any course of conduct dealings cannot bind the Agency to any changes to the Contract; the Agency may avoid any unauthorized modifications to the Contract,	
5.4. Change Orders	 Contract Amendments, or Orders. The Agency will only compensate for and the Construction Contractor shall only deliver or perform Additional Work that has been approved by the Agency through a fully authorized Change Order. CHANGE ORDER TERMS. All Change Orders are subject to the Contract Terms and Conditions except to the extent they are modified by Change Order. REASONABLE TIME FOR REVIEW. Both parties to the Contract agree to allow a reasonable period of time for the review and consideration of any requested Change Orders. FIELD ORDER DIRECTIVES (See Exhibit 1). Field Order Directives should be followed by a Change Order within a reasonable time. The Not-to-Exceed Cost detailed in the Field Order Directive is enforceable against the Construction Contractor if the Agency and Construction Contractor are unable to agree to a price through a Construction Contractor or shull include the proposed Cost itemized breakout including Subcontractor or Subconsultant pricing by Work division labor and Materials, at a minimum to include: General Conditions, Overhead and Profit, Total- Labor Costs, Total Materials Cost, Equipment, Field Office and Job Site Supervision, Bonds, Insurance, and applicable tax. Failure of Construction Contractor to submit itemized Cost information with the Change Order Request will delay processing through no fault of the Agency. ADDITIONAL TIME FOR DELAY. Construction Contractor must submit any request for an Excusable Delay within one business day after the Construction Contractor should have reasonably known of the occurrence prompting the request and written notice to the Agency. Failing to timely and properly provide written notice of the Delay, which must include a request through a Change Order Request and written notice to the Agency. Failing to timely and properly provide written notice of the Delay, which must include a request through a Change Order Request and written notice to the	

	10.19.20

	direct and indirect costs associated with such change and any and all adjustments to
	the Contract sum and the Schedule.
5.5. Field Order Directive	 The Agency may order changes in the Work within the general scope of the Contract, consisting of additions, deletions or other revisions, the Contract Cost and time for Substantial Completion being adjusted accordingly.
	2) A Field Order Directive (Exhibit I) shall be used in the absence of total agreement on the terms of a Change Order.
	3) If the Agency and the Construction Contractor cannot agree as to what amount should be charged for the Field Order Directive, Construction Contractor shall only be entitled to be reimbursed for actual direct labor and material Costs incurred at the
	construction site attributable to the change plus 5% for overhead and profit. Construction Contractor shall keep detailed records of all such Costs and submit such records to the Agency on a weekly basis. Within ten (10) days of completion of the change and the submission of all Cost data to the Agency, the Agency shall determine the total allowable Costs for the purpose of pricing and paying for the additional work required by the Field Order Directive and advise the Construction
	Contractor of such determination in writing. This determination shall be final and binding unless Construction Contractor objects in writing within ten (10) days of this determination. The written objection shall contain a detailed statement of those
	elements and items of the determination with which the Construction Contractor disagrees with an adequate explanation forming the bases of the disagreement. The parties shall then make a good faith effort to resolve the disagreement within fifteen (15) days. If the parties still fail to agree, the dispute shall be submitted to the Procurement Officer. The Procurement Officer shall determine the Costs and notify the Construction Contractor in writing of his or her determination. If the Construction
	Contractor disagrees with the Procurement Officer's determination, the Construction Contractor shall immediately initiate the contract claims resolution process in the
	 Arizona Procurement Code (A.A.C. R2-7-B901, <i>et seq.</i>) When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.
	5) The amount of credit to be allowed by the Contractor to the Agency for a deletion or change which results in a net decrease in the Contract Cost shall be actual net Cost as determined by the Design Professional or Agency.
	6) Pending final determination of Cost to the Agency, amounts not in dispute may be included in applications for payment.
	7) For any disagreement between the Contractor and Agency on the adjustment in Contract time or the method for determining it, the adjustment or the method shall be referred to the Agency for determination.
	8) When the Agency and Contractor agree with the determination made by the Contractor concerning the adjustments in the Contract Cost and Contract time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and shall be recorded by preparation and execution of an appropriate Change Order.
5.6. Minor Changes in the Work	The Contractor, with the Agency's approval, has the authority to order minor changes in the Work not involving adjustment in the Contract Cost or extension of the Contract time and consistent with the intent of the Contract. Such changes shall be effected by written order and shall be binding on the Agency and Contractor. The Contractor shall carry out such written orders promptly.
5.7. Claims	If Contractor is aware of any act, omission, or condition that would give rise to a breach of Contract or a Change Order and/or claim, Contractor shall notify Agency in writing within 48 hours after becoming aware of such act, omission, or condition. This notice shall provide sufficient detail so that the claim may be properly evaluated by the Agency in a timely manner. Failure to give such notice shall be deemed a waiver of the right of the Contractor to recover.
6.0 Risk and Liability	
6.1. Risk of Loss	If applicable, Contractor shall bear all risk of loss and damages caused by Drawings, Specifications, Design Requirements, or other documents prepared by Contractor and used by Contractor in bidding, designing, and/or constructing the project to the

		10.19.20
--	--	----------

			extent that such documents are ambiguous, incomplete, contain errors or
6.2.	Basic Indemnification		inconsistencies or fail to comply with any applicable codes, regulations and laws. CONTRACTOR/VENDOR (NOT PUBLIC AGENCY). If a Design Professional provides Work, services, studies, planning, surveys or other preparatory Work in connection with a public building or improvement, the Contractor, and any and all of its Subconsultants and/or Subcontractors under this Contract, shall indemnify and hold harmless the State of Arizona and its departments, agencies, boards, commissions, universities, officers, officials, agents, and employees, from liabilities, damages, losses and Costs, including reasonable attorney fees and court Costs (including, but not limited to, primary loss investigation, judgment Costs, expert witness fees, and any and all fees and Costs from appellate proceedings), for any and all acts arising from or connected to the performance of this contract but only to the extent caused by the negligence, recklessness, or intentional wrongful conduct of such Contractor or other persons employed or used by such Contract or Subconsultants and/or Subcontractors in the performance of the Contract or subcontract, as allowed under A.R.S. Section 41-2586 (C) and A.R.S. Section 34- 226. The Design Professional additionally agrees to indemnify the State of Arizona and its departments, agencies, boards, commissions, universities, officers, officials, agents, and employees for any vicarious liability for the tortious conduct of the Design Professional's actions including the actions of any of the Design Professional's personnel or Subcontractors and/or Subconsultants. The amount and type of insurance coverage requirements set forth in the Contract shall not be construed as limiting the scope of the indemnity in this paragraph. This indemnity shall not apply if the Contractor or Subconsultant(s) and/or Subcontractor(s) is/are an agency, board, commission or university of the State of Arizona
6.3.	Patent and Copyright Indemnification	2)	 Arizona. DESIGN PROFESSIONAL/VENDOR (NOT PUBLIC AGENCY). With respect to Materials or Services provided or proposed by a Design Professional Indemnitor for performance under the Contract, Design Professional shall indemnify, defend and hold harmless the State of Arizona and its departments, agencies, boards, commissions, universities, officers, officials, agents, and employees against any third- party claims for liability, Costs, and expenses, including, but not limited to reasonable attorneys' fees, for infringement or violation of any patent, trademark, copyright, or trade secret by the Materials and the Services. With respect to the defense and payment of claims under this subparagraph: a) Agency shall provide reasonable and timely notification to Design Professional of any claim for which Design Professional may be liable under this paragraph; b) Design Professional, with reasonable consultation from Agency, shall have control of the defense of any action on an indemnified claim including all negotiations for its settlement or compromise. Design Professional shall provide the Agency with notice of settlement negotiations and allow the Agency to participate in negotiations, if Agency so chooses; c) Agency may approve or disapprove any settlement or compromise, provided that, Agency shall not unreasonably withhold or delay such approval or disapproval and Agency shall cooperate in the defense and in any related settlement negotiations. If Design Professional is a public agency, this paragraph does not apply.
6.4.	Force Majeure	1) 2) 3)	 DEFINITION. For this paragraph, "force majeure" means an occurrence that is: a) beyond the control of the affected party, b) occurred without the party's fault or negligence, and c) something the party was unable to prevent by exercising reasonable diligence. Without limiting the generality of the foregoing, force majeure expressly includes acts of God, acts of the public enemy, war, riots, strikes, mobilization, labor disputes, civil disorders, fire, flood, lockouts, injunctions-intervention-acts, failures or refusals to act by government authorities, and subject to paragraph 6.5 "Performance in Public Health Emergency," declared public health emergencies. Force majeure expressly does not include late delivery caused by congestion at a manufacturer's plant or elsewhere, an oversold condition of the market, late performance by a Subcontractor unless the delay arises out of an occurrence of force majeure.

Uniform Terms and Conditions Design Professional Page 26 of 52

	10.19.20
6.5. Performance in Public Health Emergency	 RELIEF FROM PERFORMANCE. Except for payment of sums due at the time of Force Majeure, the parties are not liable to each other if an occurrence of force majeure prevents its performance under the Contract. If either party is delayed at any time in the progress of their respective performance under the Contract by an occurrence of force majeure, the delayed party shall provide written notice to the other no later than the following working day after the occurrence, or as soon as it could reasonably have been expected to recognize that the occurrence had effect in cases where the effects were not readily apparent. In any event, the notice must make specific reference to this paragraph specifying the causes of the delay in the notice and, if the effects of the occurrence are on-going, provide an initial notification and thereafter the delayed party shall provide regular updates until such time as the effects are fully known. To the extent it is able, the delayed party shall cause the delay to cease promptly and notify the other party when it has done so. The parties shall extend the time of completion by Contract Amendment for a period equal to the time that the results or effects of the delay prevented the delayed party from performing. DELAY CAUSED BY FORCE MAJEURE IS NOT DEFAULT. Failure in performance by either party will not constitute default hereunder or give rise to any claim for damages or loss of anticipated profits or any other consequential damages if and to the extent that such failure was or is being caused by an occurrence of force majeure. DEFAULT DIMINISHES RELIEF. Entitlement to relief from the effects of an occurrence of force majeure is diminished to the extent that the delay did or will result from the affected party's default unrelated to the occurrence, in which case and to that extent the other party was normal remedies and the affected party's obligations would apply undiminished. Construction Contractor warrants that it will: <l< th=""></l<>
6.6. Safety Standards	 Contractor shall provide Materials and Services under this Contract that comply with all current applicable safety standards and regulations, including but not limited to, the Occupational Safety and Health Standards of the State of Arizona Industrial Commission, the National Electric Code and the National Fire Protection Association Standards and any other standard references in the Contract. Contractor shall provide necessary protection, take all precautions for and monitor the safety of Construction Contractor personnel and Subcontractor and/or Subconsultants during the performance of Work.
6.7. Third Party Antitrust Violations	 3) Contractor is obligated to act to prevent threatened damage, injury or loss of persons, the Work, or property at the Site or adjacent thereto in emergencies affecting the safety or protection thereof. Contractor assigns to Agency any claim for overcharges resulting from antitrust violations to the extent that those violations concern Materials or services supplied by third parties to Contractor toward fulfillment of the Contract.



7.0 Warranties

7.1 Liens	Contractor warrants that the Materials and Services when accepted will be and will
	remain free of liens or other encumbrances.
7.2 Guarantees and Warranties	 Design Professional warrants that it has carefully conducted and performed internal checking of any and all Design Requirements to ensure proper layouts and dimension completeness and clarity, and through due diligence has no knowledge of any inconsistencies, ambiguities, errors, omissions, or conflicts with regard to such
	 Design Requirements. 2) Design Professional warrants that it has advised Agency in writing of the need for tests, studies, analysis or subconsultant services for the development of design documents.
	 3) Design Professional warrants that construction drawings and specifications submitted for bidding or negotiation with a Construction Contractor are complete, accurate, unambiguous and in compliance with all applicable codes, laws and ordinances. 4) Design Professional warrants that it is for prior by the second processing professional warrants and processing professional warrants.
	 Design Professional warrants that it is financially solvent and possesses sufficient experience, licenses, personnel, and capital to complete the services for the Agency. Design Professional warrants that they have visited the project Site, is thoroughly familiar with the conditions of the Site, and will correlate its observations with the
	 construction drawings and specifications. 6) Design Professional warrants that it shall be responsible for any and all defects in the construction drawings and specifications, and other design documents prepared by Design Professional and/or Subconsultants and/or Subcontractors, that are caused by the Design Professional, Subconsultants and/or Subcontractors, or any other person or firm hired by the Design Professional.
	 Design Professional warrants that the construction drawings and specifications are sufficient for the intended purpose of performing design services under this agreement.
	 8) Design Professional warrants that the construction drawings and specifications may be built at the Site and that construction and completion of the project will not violate any zoning ordinance or use restrictions imposed by any governing authority. 9) Design Professional warrants that all personnel or Subconsultants and/or
	Subcontractors used for construction administration services shall have sufficient knowledge and experience to properly carry out the duties required for the Work.
7.3 Contractor Personnel	 Construction Contractor warrants that its personnel will perform their duties under the Contract in a professional manner, applying the requisite skills and knowledge, consistent with industry standards, and in accordance with the requirements of the Contract. Construction Contractor further warrants that its key personnel will maintain any certifications relevant to their Work, and Construction Contractor shall provide individual evidence of certification to Agency's authorized representatives upon representatives.
	 request. The Construction Contractor shall enforce strict discipline and good order among the Construction Contractor's employees and other persons carrying out the Contract Work. The Construction Contractor shall not permit employment of unfit persons or persons not skilled in tasks assigned to them.
7.4 Intellectual Property	 Design Professional warrants that the Materials and Services do not and will not infringe or violate any patent, trademark, copyright, trade secret, or other intellectual property rights or laws, except only to the extent the Specifications do not permit use of any other product and Design Professional is not and cannot reasonably be expected to be aware of the infringement or violation.
	2) SYSTEMS AND CONTROLS. In consideration for Agency having agreed to permit Pass-Through Indemnities in lieu of direct indemnity, Design Professional agrees to establish and keep in place systems and controls appropriate to ensure that Agency funds under this Contract are not knowingly used for the acquisition, operation, or maintenance of Materials or Services in violation of intellectual property laws or a third party's intellectual property rights
7.5 Compliance with Laws	If applicable, Design Professional warrants that the Materials and Services and any disposal thereof bearing on performance of the Work do and will continue to comply with all applicable federal, state, and local laws. Some of the local codes with which the Work performed by the Design Professional must be in compliance include, but are not limited to, the Arizonans with Disabilities Act (A.A.C. R10-3-401

Uniform Terms and Conditions Design Professional Page 28 of 52

	10.19.20
1912	

	through 412) and American National Standards Institute's Specifications for Making Buildings	
	and Facilities Accessible to and Usable by the Physically Handicapped; State of Arizona Fire Code; regulations related to solar energy and life cycle Cost analysis (see A.R.S. § 34-452); and	
7.6 100% Construction Documents	 Water Conservation for State Facilities (see Executive Order 91-3). Construction Documents shall be consistent with the Project program, construction budget, and Progress Schedule. Prior to the first Construction Documents phase submittal, Design Professional and its Subconsultants and/or Subcontractors shall review Agency's Bidding Documents 	
	 for Project requirements and recommend any changes needed to make them applicable to the Project. 3) Design Professional shall update the documents and provide additional drawings, details and specifications in sufficient detail as to be deemed complete and buildable. 	
	 4) Prior to submitting the 100% Construction Documents, Design Professional and its Subconsultants and/or Subcontractors shall have thoroughly checked, coordinated, and revised all documents to bring them to 100% completed level 	
	 5) The Design Professional shall provide or assist with the preparation of the following: a) Certification Page b) Project Description 	
	c) Index to the Specifications	
	d) Specifications and List of Drawings with dates	
7.7 Contracted Work, Errors and Omissions	 Errors, inconsistencies, ambiguities or omissions discovered by the Contractor shall be reported as a written Request for Information to the Agency immediately prior to the execution of Work. 	
	2) If the Contractor performs any Work activity knowing or should have known it involves an error, inconsistency or omission in the Contract without such written notice to the Agency, the Contractor shall assume full responsibility for such performance and	
	 shall bear the full Costs for correction. REMEDIATION OF ERRORS. Contractor bears full responsibility for errors and omissions in its Work and any and all Work of the Contractor's Subconsultant's 	
	and/or Subcontractor's Work. Contractor shall include in its Work, without limit or additional Cost to the Agency, all Work necessitated, in whole or in part, by any and all errors and omissions of, or breach of, the Contract by, the Contractor, its Subconsultants and/or Subcontractors, or any entity working under the Contractor. At a minimum, the Contractor shall, at no Cost to the Agency, promptly remediate any errors, omissions, deficiencies, or contradictions in its Work to the satisfaction of the Agency.	
	4) ACCEPTANCE OR APPROVAL DOES NOT ALLEVIATE CONTRACTOR'S RESPONSIBILITY FOR ERRORS. The approval, review, or acceptance of the Contractor's Work by any Agency or other party does not, in any way, alleviate the Contractor from its responsibility to fully remediate the Work from any errors discovered subsequently or necessary clarification of any ambiguities. The obligations of the Contractor to correct defective or nonconforming Work shall not, in any way, limit the Contractor's other obligations under the Contract.	
	 5) DESIGN PROFESSIONAL PERFORMING WORK WITH A CONSTRUCTION MANAGER AT RISK. When working with a Construction Manager-at-Risk, Design Professional has a shared responsibility with Construction Contractor for discovery and resolution of discrepancies, errors, and omissions in the Contract Documents when hired by the Agency to perform pre-construction services. In such case, Design Professional's responsibility pertains to review, coordination, and recommendation of resolution strategies within budget constraints. 	
7.8 Licenses and Permits	Design Professional warrants that it will maintain all licenses and proper State registration required under paragraph 3.8 [Design Professional Licenses] valid and in force.	
7.9 Operational Continuity	Contractor warrants that it will perform without relief notwithstanding being sold or acquired; no such event will operate to mitigate or alter any of Contractor's duties hereunder absent a consented delegation under paragraph 5.1 Assignments and Delegation that expressly recognizes the event.	
7.10 Pandemic Contractual Performance	 The Design Professional shall have a plan that illustrates how the Design Professional shall perform contractual requirements in the event of a pandemic. At a minimum, the plan shall include: a) Key succession and performance planning in the event of sudden significant decrease in Design Professional's workforce; b) Alternative methods to ensure there are products in the supply chain; and 	

Uniform Terms and Conditions Design Professional Page 29 of 52

	10.19.20
--	----------

	 c) a current organizational chart and contact list. 2) In the event of a pandemic, as declared by the Governor of Arizona, U.S. Government or the World Health Organization, which makes performance of any term under this Contract impossible or impracticable, the following shall apply: a) The Agency may temporarily void the Contract(s) in whole or specific sections if the Design Professional cannot perform contractual requirements; b) The Agency shall not incur any liability if a pandemic is declared and emergency procurements are authorized by the director as per § 41-2537 of the Arizona Procurement Code; and c) The Agency may, at its sole discretion, reinstate the voided contracts or sections of contracts when the pandemic is officially declared over and/or the Contractor can demonstrate the ability to perform. 3) The Agency, at any time, may request to see a copy of the written plan from the Design Professional. The Design Professional shall produce the written plan within seventy-two (72) hours of the request.
7.11 Lobbying	 PROHIBITION. Design Professional warrants that: it will not engage in lobbying activities, as defined in 40 CFR part 34 and A.R.S. § 41-1231, et seq., using monies awarded under the Contract, provided that, the foregoing does not intend to constrain Design Professional's use of its own monies or property, including without limitation any net proceeds duly realized under the Contract or any value thereafter derived from those proceeds; and, upon award of the Contract, it will disclose all lobbying activities to Agency to the extent they are an actual or potential conflict of interest or where such activities could create an appearance of impropriety. Design Professional shall implement and maintain adequate controls to assure compliance with this paragraph. b) Design Professional shall obtain an equivalent warranty from all Subcontractor and/or Subconsultants and shall include an equivalent no-lobbying provision in all Subcontracts.
	 EXCEPTION. This paragraph does not apply to the extent that the Services are defined in the Contract as being lobbying for Agency's benefit or on Agency's behalf.
7.12 Survival of Warranties	All representations and warrants made by Design Professional under the Contract will survive the expiration or earlier termination of the Contract.
7.13 Waiver of the Statute of Repose	To the fullest extent permitted by law, Contractor waives Arizona's statute of repose as defined in A.R.S. § 12-552 (the "Statute of Repose"). The Contractor 's express written warranties stated elsewhere in the Contract, and any and all any and all claims, actions, liabilities, damages, losses, or expenses including attorney fees and court Costs, for bodily injury or personal injury (including death), will not be time- barred by the Statute of Repose. Court Costs shall include, but are not limited to, Costs associated with claim processing, primary loss investigation, judgment, expert witnesses, and any and all fees and Costs related to appellate proceedings.

8.0 State's Contractual Remedies

8.1 Agency's Right to Carry Out the Work	Contract Documents and fails within a rom the Agency to commence and co iligence and promptness, the Agenc rejudice to other remedies the Agen	to carry out the Work in accordance with the a seven-day period after receipt of written notice ontinue correction of such default or neglect with y may after such seven-day period, without cy may have, correct such deficiencies or cause ntractor shall pay any and all Costs incurred by e work.
	nen or thereafter due the Contractor	Order shall be issued deducting from payments the Cost of correcting such deficiencies, ner's additional services and expenses made failure.
	prior review and confirmation by th	ints charged to the Contractor are both subject e Designer. If payments then or thereafter due ver such amounts, the Contractor shall pay the
		or provide a work plan to address the ng the above-referenced notice from Agency.

	10.19.20	
9.2 Concernantial Demograp	1) Design Drafessional and Aganay waive claims against each other for consequential	
8.2 Consequential Damages	 Design Professional and Agency waive claims against each other for consequential damages arising out of relating to the Contract. This mutual waiver includes, but is not limited to: a) Damages incurred by the Agency for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and b) Damages incurred by the Design Professional for principal office expenses including, but not limited to, the compensation of personnel stationed there, for losses of financing, inherent loss, bond capacity loss, business and reputation, and for loss of profit arising directly from the Work, and for indirect expenses and general office overhead and future profits. This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination. Nothing contained in this section shall be deemed to preclude an award of liquidated direct damages, when applicable, in accordance with the requirements of the Design Professional documents. In the event the liquidated damage clause is deemed unenforceable by any tribunal 	
	or court of competent jurisdiction, the Agency's waiver of consequential damages	
	shall be null and void.	
8.3 Nonconforming Tender	 The Materials provided and Services performed must comply fully with the Contract, and providing Materials or performing Services or any portion thereof that do not comply fully constitutes a breach of Contract, in which event Agency will be entitled to exercise any remedy available to it under the Contract or laws. Any material deviation from the final bid may be deemed a breach of contract unless specifically authorized by the Procurement Officer through a contract Change Order. The Agency will not accept a material reduction and/or modification in the quality and/or quantity of the Work. 	
8.4 Non-exclusive Remedies	Agency's rights and remedies under the Contract are not exclusive.	
8.5 Right to Assurance	 If Agency in good faith has reason to believe that Contractor does not intend to, or is 	
6.5 Right to Assurance	 unable to, perform or continue performing under the Contract, Procurement Officer may demand that Contractor promptly provide written assurance of intent to perform. Failure by Contractor to provide the assurance within the time specified may be the basis for terminating the Contract or for Agency to exercise any other remedy available to it under the Contract or laws. 2) The Agency may demand any and all documents in its reasonable discretion to assure itself that the Contractor has the resources and ability to perform the Contract. 	
8.6 Right of Offset	 Agency is entitled to offset against any sums due Contractor any expenses or Costs Agency incurs or damages it has assessed against it concerning Contractor's non- conforming performance or failure to carry out the Work, including any expenses, Costs, 	
	and damages to which it is entitled by the Contract or laws.Further, the Agency is also entitled to the right of offset on this Contract for breach and	
	defaults on other Contracts between the Agency and Contractor.	
8.7 Stop Work Order	The Agency may at any time require Contractor to stop all or any part of the Work by written order (a "Stop Work Order"). Upon receipt of a Stop Work Order, Contractor shall immediately comply with its terms and take all reasonable steps to minimize incurring of further Costs during the period of stoppage that might be chargeable to Agency associated with the portions of the Work covered by the order. If Contractor incurs losses, it may make a claim under Article 10 solely for Work performed to date of the Stop Work Order subject to the limitations set forth in this Contract. Further, upon issuance of a Stop Work Order, Contractor shall take all steps necessary to ensure the safety of the Site.	
9.0 Contract Termination		
9.1 Agency Failure to Perform	The Design Professional is not liable or responsible for Agency delays or suspensions of	
9.2 Crotuition	Work.	
9.2 Gratuities	Agency may, by written notice, terminate the Contract, in whole or in part, if Agency	

	WOIR.
atuities	Agency may, by written notice, terminate the Contract, in whole or in part, if Agency
	determines that employment or a Gratuity was offered or made by Design
	Professional or a representative of Design Professional to any officer or employee of
	Agency for the purpose of influencing the outcome of the procurement or the
	administration of the Contract or any favorable treatment concerning the Contract or
	performance of the Contract. Agency, in addition to any other rights or remedies
	available to it, will be entitled to recover exemplary damages in the amount of three
	(3) times the value of the Gratuity offered by Design Professional.

Uniform Terms and Conditions Design Professional Page 31 of 52

	10.19.20	
9.3 Notice to Cure	In the event a Notice to Cure is issued to the Design Professional, the Design Professional shall attend a meeting with the Agency Procurement Officer and any designated Agency personnel. Upon receipt of any Notice to Cure, the Design Professional receiving the Notice must prepare a report describing its program and measures to affect the Cure of the event of default and/or anticipatory breach of Contract within the time required by the Notice to Cure. The report must be delivered to the Agency Procurement Officer at least three (3) business days prior to the required Notice to Cure meeting with the Agency.	
9.4 Rights to Work Project	Should the Design Professional be terminated under this Contract, the Agency may continue the Project and receive copies of the Drawings, Specifications, or other documents within fourteen (14) calendar days of the termination notice. Copies will be in the format designated by the Agency. The Agency reserves the right to have these documents completed, corrected, revised or added to by another Design Professional	
9.5 Suspension or Debarment	Agency may, by written notice to Design Professional, terminate the Contract immediately if Agency discovers that Design Professional has been debarred, suspended or otherwise lawfully prohibited from participating in any public procurement activity, including but not limited to, being disapproved as a Subcontractor and/or Subconsultant of any public procurement unit or other governmental body. Agency has taken Design Professional's submittal of the Offer and Acceptance Form and will take its performance under the Contract as Design Professional's attestation that it is not currently suspended or debarred. If Design Professional subsequently becomes suspended or debarred, it shall notify Procurement Officer immediately.	
9.6 Termination for Conflict of Interest	By A.R.S. § 38-511, Agency may terminate the Contract within three (3) years after the effective date without penalty or further obligation if any Person significantly involved in initiating, negotiating, securing, drafting, or creating the Contract on behalf of Agency is or becomes an employee or agent of any other party to the Contract in any capacity or a consultant to any other party to the Contract with respect to the subject matter of the Contract. Any such termination will be effective when Design Professional receives Agency's written notice of the termination unless the notice specifies a later date.	
9.7 Termination for Convenience	Agency may terminate the Contract for convenience and in its sole discretion, in whole or in part, at any time, and without penalty or recourse on Contractor's part other than as expressly stated in the Contract. Upon receipt of Agency's written termination notice, Contractor shall stop work as directed in the notice, notify all Subcontractor and/or Subconsultants of the termination and its effective date, place no further orders for Work or Materials, enter into any further Contracts for Materials or Work, terminate all Contracts regarding Work remaining to be done, take all reasonable and necessary actions to protect the Work and the Site, and minimize any further Costs that might be chargeable to Agency. Contractor shall take all necessary actions to protect and preserve the work. In the event of termination under this paragraph, all Design Requirements, plans, Specifications, Drawings, Construction Documents, data, and reports prepared by Contractor under the Contract will become Agency's property and Contractor shall deliver it all promptly on demand. Contractor will be entitled to receive just and equitable compensation for necessary and attributable unfinished Materials on hand, Work in progress, Work completed, and Work accepted before the effective date of the termination. Should the Agency terminate the Contract under this paragraph, the Agency will not be liable for	
9.8 Termination for Default	 Contractor lost profits or any consequential damages. In addition to the rights reserved to it under the Contract, Agency may terminate the Contract in whole or in part due to Contractor's failure to: a) comply with any term or condition of the Contract; b) comply with any warranty made by construction contractor under the contract c) obtain and maintain all required insurance policies, bonds, licenses, and permits; or d) make satisfactory progress in carrying out the Work. Procurement Officer shall give written notice of the termination and the reasons for it. e) or fails to furnish Agency with assurances satisfactory to Agency evidencing Contractor's ability to complete the work in compliance with all the requirements of the Contract Documents. 	

	10.19.20	
9.9 Work Performance	 Upon termination under this paragraph, all documents, data and reports prepared by Contractor under the Contract and all necessary and attributable unfinished Materials on hand, Work in progress, Work completed, and Work accepted will become Agency's property, and Contractor shall deliver all of it immediately on demand. Agency may, following termination of the Contract under this paragraph, procure on terms and in the manner determined to be appropriate Materials or services to replace those that were to have been provided or performed by Contractor, and Contractor will be liable to Agency for any excess Cost Agency incurs in procuring such substitutes. In the event the Agency terminates for default, the Agency shall be entitled to recover from the Contractor any and all reasonable attorney fees and court Costs (including, but not limited to, primary loss investigation, judgment Costs, expert witness and/or consultant fees and any and all expenses, fees, and Costs from appellate proceedings). If a termination for default is later determined to have been improper, such termination shall be automatically converted to a termination for convenience, and Contractor's remedies and compensation shall be limited to those for a termination for convenience under the Contract. 	
Continuation Required	Design Professional shall carry on the Work and adhere to the Progress Schedule during all disputes, disagreements, or alternative resolution processes with the Agency. Design Professional shall not delay or postpone any Work except as Agency and Design Professional may agree in writing. Design Professional shall continue to perform in accordance with the requirements of the Contract up to the effective date of any Stop Work Notice issued or Termination, as directed by Agency in the notice.	
10.0 Contract Claims		
10.1 Claim Resolution	Notwithstanding any law to the contrary, all Contract claims or controversies under the Contract are to be resolved according to Arizona Revised Statutes Title 41, Chapter 22, Article 9, and the rules edented thereunder	
10.2 Mandatory Arbitration	Chapter 23, Article 9, and the rules adopted thereunder. In compliance with A.R.S. § 12-1518, the parties agree to comply in a judicial review proceeding with any applicable, mandatory arbitration requirements for the resolution of claims valued at less than \$100,000 by the State. The parties agree that any and all mandatory arbitration shall be through the American Arbitration Association ("AAA"), with the arbitrator to be selected pursuant to AAA rules and the arbitration to be conducted according to the applicable AAA rules, and with the Costs of arbitration (including but not limited to the arbitrator's fees, and Costs) to be allocated between the parties by the arbitrator. Costs do not include attorney fees.	
10.3 Additional Parties to Arbitration	At the State's request, Design Professional agrees to allow for the joinder of an additional party, or additional parties, to an arbitration of any claim relating to the Contract. This provision is intended for circumstances in which the State, Design Professional, or an additional party, has a claim or claims that relate to either the facts or claim at issue in the Design Professional's arbitration with the State, and the State determines that it would be efficient to join all the parties involved in the dispute in one arbitration.	
11.0 Design Professiona		
11.1 Acceptance of Work	 Agency has the right to make acceptance of the Work subject to a complete inspection on delivery and installation, if installation is Construction Contractor's responsibility. Agency may apply as acceptance criteria conformity to the Contract, workmanship and quality, correctness of constituent Materials, and any other matter for which the Contract or applicable laws state a requirement, whether stated directly or by reference to another document, standard, reference specification, etc. Construction Contractor shall remove and replace any rejected Work; and remove any rejected Materials from the delivery location, or from any immediate environs to which it might have been reasonably necessary to move it, carry it off the delivery premises, and subsequently deliver an equal number or quantity of conforming items. Agency will not owe Construction Contractor any payment for rejected Work, and Agency may, at its discretion, withhold or make partial payment for any rejected Materials that have been returned to Construction Contractor in those instances where Agency has agreed to permit repair instead of demanding replacement 	

Uniform Terms and Conditions Design Professional Page 33 of 52

	10.19.20	
11.2 Additional Work	 AUTHORIZATION FOR ADDITIONAL WORK REQUIRED. Contractor shall only provide Additional Work when authorized in a written Order signed by the responsible Agency Procurement Officer. The Agency will not provide compensation for unauthorized Work. PROMPT NOTIFICATION. Contractor shall notify the Agency with reasonable promptness when the need for additional services is identified and explain the facts and circumstances giving rise to the need. If the Agency determines that all or parts of those services are not required, the Agency shall give prompt written notice to the Contractor, and the Agency shall have no further obligation to compensate the Contractor for those services. PROMPT AND ACCURATE SUBMITTALS. To avoid delay in the Schedule, Contractor shall submit any Requests for Information ("RFI's) or any other necessary documentation completely, accurately, and in a timely fashion, in accordance with the Contract. 	
11.3 Agency Reviews and Approvals During Design	Each design phase is subject to review and approval by the Agency. Other Agency personnel, external consultants, or public agencies may also review the Design Professional's submittals at the Agency's discretion or as required by applicable regulations in advance of Agency approval. The Agency will review the submitted drawings at all stages at times mutually agreeable with the Design Professional and provide written comments. The Design Professional may not proceed with Work until it has received Agency approval.	
11.4 Allowances	 The Construction Contractor shall include in the Contract Cost at time of offer submission any and all Allowances stated in the Contract as separate line items. Items covered by Allowances shall be supplied for such amounts and by such persons or entities as the Construction Documents may direct, but the Construction Contractor shall not be required to employ persons or entities against which the Construction Contractor makes reasonable objection. Agency shall approve all use of Allowances through the use of Authority to Use Allowance Form, Exhibit S. Allowances shall: Cover the Cost to the Construction Contractor of Materials and equipment delivered to the Site and all required taxes, less applicable trade discounts; Construction Contractor's Costs for unloading and handling at the Site, labor, installation Costs, overhead, profit and other expenses contemplated for stated Allowances; Construction Contractor shall take all reasonable steps to ensure the scope and budget of Allowances are correct. When Costs are more than or less than Allowances, the Contract cost shall be adjusted accordingly by a Change Order. Construction Contractor shall notify the Agency immediately if the scope selected for the Allowance must reflect reasonable Cost of providing the items, whether the item is actually provided. 	
11.5 As-Built Drawings	Construction Contractor will review and update the As-Built Drawings on a weekly basis reflecting the changes in Specifications and working Drawings during the Construction of the Work and such updated As-built Drawing shall be made available at the construction site for review by agency and Design Professional. Construction Contractor will submit the fully revised set of Drawings to the Design Professional upon Final Completion of the Work for the Project. Design Professional will incorporate Construction Contractor's red-line drawings and will submit the fully revised set of As-Built Drawings to the Agency upon Final Completion of the Work for the Project.	
11.6 Automatic Temperature Control Design	Where applicable, the Design Professional shall specify open protocol automatic Energy Management System (EMS)/HVAC controls systems that communicate with and are interoperable with the Agency system. The Agency's Designated Representative shall arrange an initial meeting to discuss the integration and specification of the EMS/HVAC Control System. The Design Professional shall thereafter incorporate these requirements into Project design and Construction Documents.	
11.7 Basic Work, Design Professional Services	Design Professional's Basic Work, as detailed in the Design Professional Services Agreement, shall include but are not limited to, any and all structural, mechanical, civil and electrical engineering Services. If the initial scope of the Project is changed materially by the Agency, the Design Professionals compensation will be equitably adjusted through negotiation upon execution of a contract amendment.	

	10.19.20	
11.8 Bidding Phase	During the Bidding phase, only the Agency Procurement Officer shall receive all requests for interpretation, clarification and modification from Bidders, and log in the date, time, contact information and question in the e-procurement system. The Agency Procurement Officer will forward this information to the Design Professional. The Design Professional and its Subconsultants and/or Subcontractors may answer general questions and collect information from Bidders, but shall not clarify intent or change the Bidding Documents verbally or in writing. The Design Professional and its Subconsultants and/or Subcontractors shall forward a copy of questions they directly received from Bidders to the Agency Procurement Officer. The Agency Procurement Officer sets the deadline for receiving all requests for clarification or interpretation of the Bidding Documents. Questions received after the deadline may be answered at the discretion of the Agency Procurement Officer	
11.9 Clean Up of Site	 The Construction Contractor shall at all times keep the premises, Site of construction, surrounding area, and any storage areas neat and clean, and free from accumulation of waste materials or rubbish caused by operation of Work under the Contract. At completion of the Work the Construction Contractor shall remove from and about the Project waste materials, rubbish, the Construction Contractor 's tools, construction equipment, machinery, surplus material, and any excess rocks and dirt from the Work, to restore affected areas of Site to a neat and clean condition satisfactory to the Agency Designated Representative. If the Construction Contractor fails to clean up, the Agency may do so and the Cost thereof shall be charged to the Construction Contractor. Any landscaped seeded or sodded area requiring repair as a result of construction damage shall be leveled, raked, and re-seeded or re-sodded with like material at Construction Contractor 's expense. 	
11.10 Compliance with Codes	Contractor shall bear full responsibility for ensuring that the Work performed under the Contract complies with all applicable laws, codes and regulations. In the case of conflicts between codes, the more stringent conditions shall apply. The Arizona Department of Administration Statutory Review is the authority having jurisdiction and is the enforcement agency for code requirements.	
11.11 Cooperation and Coordination	 Agency and Design Professional will cooperate and participate fully in coordinating at all levels and among all the parties involved in this Project, and at their own expense. Cooperation shall mean both formal and informal interaction between and among all the parties involved in the Project, including but not limited to, Agency's Representatives, Design Professional's Subconsultants and/or Subcontractors, Construction Contractors, Subcontractor and/or Subconsultants and outside entities as designated by Agency to promote the desired goal of a successful, non- adversarial completion of the Project on time and within budget. The requirement for Cooperation shall not be construed as a change in the terms or conditions of the Contract for the Project. The Agency and Construction Contractor shall endeavor to communicate through the Design Professional. Communications by and with the Design Professional 's Subconsultants and/or Subcontractor and/or Subconsultants and material suppliers shall be through the Construction Contractor. Communications by and with separate Construction Contractors shall be through the Design Professional. 	
11.12 Schedule	 SCHEDULE. The Schedule for Construction and any and all updates thereto shall include time for any and all necessary review and approvals by Agency or outside entities, as well as sufficient time for other Consultants to complete their portion of the Work. The Schedule shall be in a format and provide sufficient detailed information that is acceptable to the Agency. Construction Contractor shall provide the Agency and Design Professional with an approved baseline Schedule, within a time frame determined by the Agency, to include at a minimum initiation of construction, mobilization, procurement, installation, testing, inspection, delivery of Close-out Documents and Substantial Completion of the Work of the Contract and any other information required in the <u>Special Terms and Conditions</u>. ADDITIONAL TIME. Construction Contractor shall bear the primary responsibility for determining whether additional time is required for the review of any orders or 	
	amendments to the Contract for Construction; allowing time for Agency review and approval of any such orders or amendments; and is responsible for ensuring that such time is reflected in a modified Schedule in a Change Order.	

	10.19.20
	3) CONTRACTOR REVIEW. Contractor shall bear the primary responsibility for ensuring that it was allotted sufficient time in the Schedule for construction for any and all necessary reviews and approvals. The Agency and/or Design Professional shall timely review all requests for information, changes, and submittals in a timely fashion as to not delay the project.
11.13 Conformity of Work to Construction Documents Review	 The Design Professional shall review inspection reports, laboratory reports, and test data to determine conformity of such data with the Design Requirements expressed, implied, or depicted in the Contract Documents; approved Shop Drawings, Product Data, and Samples; and Clarification Drawings. The Design Professional shall also recommend to the Agency, actions to be taken by the Agency as determined from Design Professional Project Site visits,
11.14 Construction Cost Control	inspection reports, laboratory reports, and test data or from Construction Contractor proposals, or other relevant documents. Agencies shall have the right, in the event of a dispute over conformity, to conduct an independent evaluation. Throughout the Project, the Design Professional shall keep the Project's estimated
	estimated construction Cost within the Construction Budget and is responsible to periodically submit to Agency, at review times mutually agreeable to Agency and Design Professional, a current Estimated Project Construction Cost to verify that this is accomplished. Design Professional and Agency shall establish times in the Schedule to review the Construction Budget.
11.15 Construction Safety	 INJURIES. In the event of an incident or accident involving outside medical care for an individual on or near the Work, Construction Contractor shall notify Agency Designated Representative and other parties as may be directed promptly, but no later than twenty- four (24) hours after Construction Contractor learns that an event required medical care, supply Agency Designated Representative and Construction Contractor with an incident report no later than thirty-six (36) hours after the occurrence of the event. In the event of a catastrophic incident (one (1) fatality or three (3) or more workers hospitalized), barricade and leave intact the scene of the incident until all investigations are complete. A full set of incident investigation documents, including facts, witness names and statements, finding of cause, and remedial plans shall be provided to Agency Designated Representative within one (1) week after occurrence, unless otherwise directed by Agency. ENVIRONMENTAL SAFETY Construction Contractor shall immediately stop Work activities impacted by encountering any previously unknown potentially hazardous Material, or other Materials potentially contaminated by hazardous Material, and secure the affected area, and notify Agency Designated Representative immediately. Agency Designated Representative will promptly engage qualified experts to investigate and issue a written report to Construction Contractor identifying the Material(s) found. The Agency shall remediate and render harmless the hazard caused by Agency or if an unknown and could not have been reasonably foreseen by Construction Contractor. TRENCHING AND EXCAVATING PLAN. Construction Contractor shall not knowingly use, specify, request or approve for use any asbestos containing Materials or lead-based paint in the Work. When a specific product is specified, the Construction Contractor shall endeavor to verify that the product does not include asbestos containing Material. Construction Contractor, Su
11.16 Contractor Pre-Award Conference	Design Professional shall, participate in a pre-award meeting to include review of Construction Contractors' submittals which are received with the signed Agreement of the Construction Contractor. Design Professional shall submit, prior to the bid date, a complete list of all submittals required by the Contract Documents listed by individual specification sections.

Uniform Terms and Conditions Design Professional Page 36 of 52

		10.19.20
11 17 Construction Meetings	Design Professional shall attend regular cons	truction meetings with the Agency and

11.17 Construction Meetings		Design Professional shall attend regular construction meetings with the Agency and	
		Construction Contractor at the Project Site with duration and frequency determined by the Agency for the Project.	
11.18 Correction of Defects	1)	Construction Contractor shall use due care in inspections and observations to	
and Non-Compliant Work	(1)	determine non-conformance.	
and Non-compliant Work	2)	Design Professional shall keep agency informed of progress and quality of Work and	
	_,	use due care to guard against defects and deficiencies in Construction Contractor's	
		Work. Should the Design Professional and/or the Agency Designated Representative	
		identify Work as noncompliant with the Contract Documents, upon notice Construction	
		Contractor shall immediately correct such Work at no additional Cost to the Agency.	
		The approval of Work by either Design Professional or Agency Designated	
		Representative does not relieve Construction Contractor from the obligation to comply	
		with all requirements of the Contract Documents.	
	3)	Construction Contractor shall take any and all steps to meet the requirements of the	
		Project Specifications. If Construction Contractor fails to do so, the Agency will require	
		correction and full compliance. After corrective action is taken, the Agency will retest to determine compliance with the Specifications. Construction Contractor shall be	
		responsible for the Cost of the additional testing and inspections, and such Cost shall	
		be deducted from progress payment to Construction Contractor.	
	4)	Construction Contractor shall, at no additional Contract Cost and without entitlement to	
		extension of any delivery deadline or specified time for performance, remove or	
	1	exchange and replace any defective or non-conforming delivered or installed Materials	
	1	or Work.	
	5)	Construction Contractor shall bear the expense of making good all Work of Agency	
		other contractors destroyed or damaged by removal or replacement of defective	
		Construction Contractor Work. Agency shall equally enforce this clause against any	
	6)	Agency other contractors. If Construction Contractor fails to take prompt action to comply with the Contract	
	6)	Documents in a timely manner, as determined by the Agency, Agency will be entitled	
		to exercise its remedies under paragraph 8.6 [Right of Offset] of the Uniform Terms	
		and Conditions, or any other remedies set forth in the Contract.	
	7)	Whether Agency will permit Construction Contractor to repair in place or demands that	
		Construction Contractor remove and replace is at Agency's discretion in each instance,	
		provided that, Agency shall not apply that discretion punitively if repair in place is	
		practicable and doing so would not create safety hazards, put property at risk,	
		unreasonably interfere with operations, create public nuisance, or give rise to any other reasonable concern on Agency's part.	
	8)	AGENCY ACCEPTANCE OF DEFECTIVE WORK. At the absolute discretion of the	
	-,	Agency, the Agency may decide to accept defective Work, instead of requiring	
		correction or removal and replacement of defective Work. Construction Contractor shall	
		pay all claims, Costs, losses and damages attributable to Agency's evaluation of and	
		determination to accept such defective Work. If any such acceptance occurs prior to	
		recommendation of final payment, a Change Order will be issued incorporating the	
		necessary revisions in the Contract Documents and compensating the Agency for the	
		diminished value of the project resulting from the defective Work. If the acceptance occurs after such recommendation, an appropriate amount will be paid by Construction	
		Contractor to Agency after a calculation by Agency of the diminution in value of the	
		project resulting from defective Work.	
	9)	The Construction Contractor's obligations to perform Warranty Work will survive the	
	ĺ	acceptance of any Work and any termination of the Contract.	
	10)	CONSTRUCTION CONTRACTOR NON-COMPLIANT WORK. Should the Design	
	1	Professional and/or the Agency Designated Representative identify Work as	
	1	noncompliant with the Contract Documents, Design Professional and/or Agency	
	1	Designated Representative shall communicate the finding to Construction Contractor, and Construction Contractor shall correct such Work at no additional Cost to the	
	1	Agency. The approval of Work by either Design Professional or Agency Designated	
	1	Representative does not relieve Construction Contractor from the obligation to comply	
	1	with all requirements of the Contract Documents.	
	11)	AGENCY MAY CORRECT NON-COMPLIANT WORK. Agency shall issue a written	
	´	notice to Construction Contractor to correct and remedy any deficiency including but	
	1	not limited to	
	I	a) Remove and replace rejected Work, or	

	10.19.20
11.19 Demolition Plan	 b) Construction Contractor failure to perform Work in accordance with the Contract Documents; or c) Construction Contractor fails to comply with other provisions of the Contract Documents. 12) If, in the opinion of the Agency, significant progress to correct the deficiency by the Construction Contractor has not been made, within seven (7) days, the Agency may exercise any actions necessary to remedy the deficiency including but not limited to: a) Exclude Construction Contractor from all or part of the Site; b) Take possession of all or part of the Work, and c) Suspend Construction Contractor's services related thereto, and d) Incorporate in the Work all Materials and equipment stored for the Project at the Site or for which Agency has paid Construction Contractor but which are stored elsewhere. e) Hire a replacement contractor or take other measures that are reasonably necessary to correct the noncompliant Work. Any and all Costs incurred shall be paid by the Construction Contractor or deducted from any amounts due or that may be due Construction Contractor under this or any other contract with the State of Arizona. Costs, shall include, but not be limited to, repair and replacement Costs, labor and material Costs, removal Costs, design Costs, administrative expenses, and any other Construction Contractors and Subconsultants and/or Subcontractors access to the Site to enable Agency to exercise the rights and remedies under this paragraph. All claims, Costs, losses and damages incurred or sustained by the Agency in expect to the Work. Such claims, Costs, losses and damages will include but not be limited to all Costs or repaid against Construction Contractor's defective Work. Construction Contractor shall not be allowed an extension of the Contract times (or Milestones), costave of any ged against Construction Contractor's defective Work. Construction Contractor shall not be allowed an extension of the Contract times (or Milestones), costave
	thereof). Design Professional shall differentiate between new Work (walls, doors, finishes, and so on), existing Work to be removed, and existing Work to remain in place.

	10.19.20	
11.20 Design Development	Design Professional shall provide conceptual civil, landscape, architectural, structural, plumbing, mechanical, electrical drawings as required for the Design Requirements of the Work.	
11.21 Design Professional Agreements, Communication	The Agency will ensure that Design Professionals receive the necessary communication from other Construction Contractors to perform the required Work, and shall promptly notify Design Professionals of any and all communications that the Agency determines may materially affect the Design Professional's Work.	
11.22 Design Schematics	Design Professional shall prepare and submit to Agency diagrammatic drawings which delineate the design criteria (e.g., exit paths, travel distances, required exits, rated walls, rated corridors, building occupancy, construction type, and fire zones). This graphic documentation of the design criteria shall be updated with each subsequent submittal.	
11.23 Energy Efficiency	Upon request by the Agency, Design Professional will analyze the Work or related components for energy efficiency gains including, but not limited to Life Cycle Costing, pursuant to A.R.S. 34-452.	
11.24 Examination of Site	 The Contractor acknowledges that it has taken steps reasonably necessary to ascertain the nature and location of the Work, and that it has investigated and satisfied itself as to the general and local conditions which can affect the Work or its Cost. Contractor and its key personnel shall visit the Project Site to become familiar with existing Site conditions for the Agency Project and visually survey for coordination of the Work, which may include but not limited to, the Site location and size, Site and adjacent perimeter, utility capacities, conditions bearing upon transportation, disposal, handling, and storage of Materials, the conformation and conditions of the ground, the character of equipment and facilities needed preliminary to and during Work performance, and connection options of external utilities, all relevant areas of any existing buildings to be altered, ceiling, interior, exterior, and concealed spaces, prior to submitting an Offer for the Work. The Contractor shall take field measurements and verify field conditions and shall carefully compare such field measurements and conditions and other information known to the Contractor with the solicitation prior to bid submittal and Contract before commencing Work. The Contractor acknowledges that it has satisfied itself as to the character, quality, and quantity of surface and subsurface Materials or obstacles to be encountered insofar as this information is reasonably ascertainable from an inspection of the Site, including all exploratory Work done by the Agency, as well as from the drawings and specifications made a part of this Contract. Any failure of the Contractor to take the actions described and acknowledged in this paragraph will not relieve the Contractor from responsibility for estimating properly the difficulty and Cost of successfully performing the Work, or for proceeding to successfully perform the Work without additional expense to the Agency. The Agency assume no responsibility for a	
11.25 Forced Substitutions	Forced substitutions will not be permitted; Contractor shall obtain Agency's prior written consent before making any substitution for any Material or Service covered by the Contract.	
11.26 Inclement Weather Day	 RAIN DELAY. Construction Contractor bears the risk of rainfall activity unless delayed on a critical path for more than 7 days. Construction Contractor shall immediately notify the Agency Designated Representative on the day, and any subsequent days throughout the Project, the Construction Contractor is unable to perform Work at the Site on the critical path for more than seven (7) continuous normal Work hours due to inclement weather or rain. The Agency Designated Representative shall confirm the weather conditions and provide a written confirmation to the Construction Contractor. Construction Contractor shall submit to the Design Professional and Agency Designated Representative for review a Change Order Request with the number of days the Construction Contractor is requesting a no Cost time extension for Substantial Completion for inclement weather or rain in excess of normal rain fall, along with documentation of the weather days that occurred, and the impact on the critical path Work no later than the end of the month in which the inclement weather day or days occurred. Failure of Construction Contractor to submit a Change Order Request in accordance with this paragraph requirement shall constitute a waiver of additional time for Substantial Completion. Agency Representative shall be the final decision maker on 	

Uniform Terms and Conditions Design Professional Page 39 of 52

		10.19.20
--	--	----------

		Change Order Request for extension of time for Substantial Completion in the event of
		disagreement between the Construction Contractor and Agency Designated Representative. Average days of rain per month will be determined by meteorological data obtained from the closest National Weather Service Station to the Project Site.
11.27 Inspection and Material Testing	1) 2) 3) 4) 5)	All Materials and equipment used in the construction of the Project shall be subject to inspection and testing in accordance with generally accepted standards, as required and defined in the Contract Documents. CONSTRUCTION CONTRACTOR RESPONSIBILITIES. Construction Contractor shall provide, at Construction Contractor's expense, the testing and inspection services required by the Contract Documents. Construction Contractor shall provide such equipment and facilities as are required for conducting field tests and for collecting and forwarding samples of sufficient size for test purposes. No Materials or equipment represented by samples are to be used until tests, if required, have been made and the Materials or equipment are found to be acceptable. UNFIT FOR USE AFTER APPROVAL. Any Material which becomes unfit for use after approval thereof shall not be incorporated into the Work. Approvals or failures to properly inspect or test shall not be incorporated into the Work. Approvals or failures to properly shall not excuse Construction Contractor from full performance of the Work. TIMELY NOTICE. Construction Contractor from full performance of the Work. TIMELY NOTICE. Construction Contractor shall notify Agency Designated Representative and/or Design Professional in writing if any Work will need to be inspected, tested, or approved by someone other than Construction Contractor. Construction Contractor shall coordinate with the Agency and Design Professional well in advance of such testing, inspection, or approval process. Should an inspection, test, or approval be required under this paragraph, Construction Contractor shall bear the sole responsibility for updating the Progress Schedule. NON-CANCELLATION OF SCHEDULED INSPECTIONS. Construction
		Contractor fails to cancel no less than twenty-four (24) hours in advance any
		Construction Contractor scheduled
11.28 Inspection of Work by Design Professional	1) 2) 3)	 Design Professional is responsible for inspection activity and shall use due care to observe the Work as Work progresses and determine whether or not Construction Contractor's Work or any part of Work is defective or fails to conform to standards of the trade and generally accepted standards for such Work defined in the Contract Documents. Work will be compared to the Drawings and Specifications and any and all supplemental Drawings and Specifications for the Project. Design Professional shall provide technical direction to, and interpretation of, the Contract Documents for inspectors and advise inspectors of decisions rendered. Any inspectors, acting under the direction of Agency's Designated Representative, or Design Professional will: a) Be responsible for milestone inspections (spot checks) to assess compliance with the requirements of the Contract Documents. b) Prepare a written report following each milestone inspection. The inspector shall notify the Agency's Designated Representative when Work that does not comply with the Contract Document requirements is observed in the field. Observed instances of noncompliance shall be noted in the inspector's report. c) Comment in subsequent inspector's reports on whether or not instances of noncompliance have been corrected. d) Participate in Punch List inspections for partial occupancy, Substantial Completion and final completion. e) Assist Agency Designated Representative in reviewing test and inspection results from testing laboratories. f) If Agency contracts for specially inspection services, the inspector shall report the results of these inspections to Agency's Designated Representative. g) Not authorize deviations from the Contract Documents. h) Not advise or issue directions to Construction Contractor regarding any aspect of construction means, methods, techniques, sequences, or procedures or regarding safety programs in connection with the Project.
11.29 Issue Addenda	1)	Interpretation, clarification, and modification of the Contract Documents shall be issued only in the form of an Addendum to the Contract Documents. Design Professional shall furnish the information required to the Agency Procurement Officer for issuance of
	2)	Addenda. Design Professional is responsible for receiving, reviewing, approving, coordinating, and incorporating addenda items received from the Subconsultants and/or Subcontractor(s)

Uniform Terms and Conditions Design Professional Page 40 of 52

	10.19.20
	 into a single addendum document prior to submitting this document to the Agency Procurement Officer. 3) Addenda shall be submitted to the Agency Procurement Officer in the same format as the Construction Documents. 4) Design Professional shall provide to the Agency at the end of the Bidding phase the following documents with changes identified as follows. a) In the Specifications, all additions shall be shown in bold underline and all deletions shown in strikethrough. b) In the Drawings, changes shall be "clouded." c) One set of individual Construction Drawings and sections of the Specifications which were altered by Addenda. d) One complete set of Construction Drawings and Specifications that fully integrate all addenda items.
11.30 Key Personnel	 AUTHORITY. The Contractor shall designate which of its employees have the authority to enter into agreements with the Agency on behalf of the Contractor, and which of its employees, its, Subconsultants and/or Subcontractors, will bear the primary responsibility for the completion of the Work. REMOVAL OF PERSONNEL. Notwithstanding that Contractor is in every circumstance responsible for hiring, assigning, directing, managing, training, disciplining, and rewarding its personnel, Agency may at its discretion and, without the obligation to demonstrate cause, instruct Contractor to remove any of its personnel from Agency's facilities or from further assignment under the Contract. In such cases, Contractor shall promptly replace them with other personnel having equivalent qualifications, experience, and capabilities. The Agency Designated Representative may require, in writing, that the Contractor remove from the Work any employee the Agency Designated Representative deems incompetent, careless, or otherwise objectionable. STATEMENT OF QUALIFICATIONS. At every stage of the Project, Design Professional guarantees that Key Personnel will have the minimum skills and qualifications listed in the most recent Statement of Qualifications the Design Professional submitted to the State. PERSONNEL SUBSTITUTIONS. Contractor shall not be permitted to substitute Contractor Key Personnel, or Subconsultants and/or Subcontractors after offer submittal, without the prior written approval of the Agency Designated Representative. Requests shall be made in writing detailing the reasons for the requested change and shall not commence without written approval from Agency. The Agency has the right to the same kind and quality of the employee initially offered. ROLE APPROVALS. Contractor Key Personnel designated in <u>Offer Documents</u> shall be deemed approved for the roles and responsibilities stated unless expressly stated otherwise by the Agency prior to execution of
11.31 Labor and Materials	 the Contract. Construction Contractor shall perform Work during regular business hours unless such non-normal Work hours are required by the Contract Documents and not permit overtime work. Agency Designated Representative may approve alternate Work hours that neither add additional Cost nor time to the Contract Cost or Project Substantial Completion. All equipment, Materials, and articles incorporated into the Work covered by this Contract shall be new and of the most suitable grade for the purpose intended, shall be stored, applied, installed, connected, erected, used, cleaned and conditioned by Construction Contractor in accordance with the instructions of the applicable manufacturer, fabricator, supplier or distributor, unless otherwise specifically provided in the Contract Documents. References in the Specifications to equipment, Materials, articles, or patented processes by trade name, make, or catalog number, shall be regarded as
	 establishing a standard of quality, function, and type, and shall not be construed as limiting competition. All Work under this Contract shall be performed in a skillful and workmanlike manner. Construction Contractor shall provide competent, suitably qualified personnel to survey, lay-out, and construct the Work as required by the Contract Documents and maintain good discipline and order at the Site at all times. Construction Contractor is solely responsible for construction means, methods, techniques, sequences or procedures, for safety precautions and programs,

Uniform Terms and Conditions Design Professional Page 41 of 52

	10.19.20
	 protection of installed Work, for coordinating all portions of the Work under the Contract and qualify controls in connection with the Work. and will utilize the above so as not to destroy materials for reuse or to remain the property of the Agency The Construction Contractor shall be responsible for all Materials delivered and Work performed until completion and acceptance of the entire Work, except for any completed unit of Work which may have been partially accepted under the Contract. Construction Contractor shall remain responsible for the care and protection of Materials and Work in the areas where Punch List items are completed until Final Completion. The Construction Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, drinking water, water, heat, ventilation, utilities, barricades, lighting, construction and warning signs, temporary fire protection, transportation, temporary facilities, fencing, appliances, fuel, power, light, heat, telephone, sanitary facilities, and services necessary for the construction, performance, testing, start-up, inspection and completion of the Work. Any temporary sanitation facilities shall be serviced a minimum of one (1) time weekly. Construction Contractor shall install and maintain temporary fencing with lockable gates as indicated or directed by the Agency Designated Representative. Materials, equipment or items required for Work which are shown on the Drawings but not mentioned in the Specifications or Materials, equipment or items required by the Specifications und shown on the Drawings and required by the Specifications. Materials as-shipped must comply with applicable safety regulations and standards. Unless expressly stated otherwise in the <u>Scope of Work</u>, Agency is not responsible for making any Materials safe or compliant following acceptance and is relying exclusively on Construction Contractor to deliver and install only products that are already sa
11.32 Life Cycle Cost Analysis	Upon the request of the Agency, the Design Professional shall perform Life Cycle Cost Analysis (LCCA) to evaluate alternative Materials and systems by preparing an economic assessment of all significant Costs of ownership over the economic life.
11.33 Management and Supervision of the Work, Design Professional Service	Design Professional shall bear the primary responsibility for the management and supervision of the Work for Design. At a minimum, the Design Professional shall regularly consult with the Agency and receive any and all necessary Agencyapprovals; provide updated Cost estimates and gain approval for any material changes to Cost estimates through a Change Order prior to incurring those Costs; thoroughly research all the design elements upon which the Work relies; attend meetings related to the Work; communicate fully with all Construction Contractors, as necessary and appropriate; and provide the Agency with regular reports on the status of the Work.

	10.19.20
11.34 Meeting Minutes	Design Professional or Agency authorized design professional substitute, shall attend and draft complete minutes of each Project design and construction meeting between Design Professional, Agency and Construction Contractor, and submit them to Agency for approval within five (5) calendar days after each Project conference.
11.35 Observations	Design Professional, Agency Designated Representative, and/or Construction Contractor observations shall be for the purpose of ascertaining the progress of the Work, to include but is not limited to, the character, scope, quality and detail of construction (including workmanship and Materials) compliance with the design expressed in the Contract Documents, directives of the Agency Designated Representative, approved product data and samples and clarification drawings.Observations shall be separate from any inspections which may be provided by the Agency. Any Agency provision of inspection services, if any, shall not relieve Design Professional of its responsibilities under this Contract.
11.36 Outline Specifications	 Design Professional shall outline specifications with a detailed description of all building components and systems shall include: An index showing all divisions and sections intended to be used. The format shall be that recommended by the Construction Specifications Institute (CSI), narrow scope type. All technical sections in outline specification format (Part 2 of a narrow scope CSI specification). Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Construction Contractor in dividing the Work among Subcontractor and/or Subconsultants or in establishing the extent of Work to be performed by any Subcontractor and/or Subconsultant.
11.37 Pre-Bid Conference Site Visit	Agency's Procurement Officer may conduct, and Design Professional and its Subconsultants and/or Subcontractors shall attend and participate in pre-bid conferences and pre-bid Site visits with potential bidders to help identify questions that bidders may raise during the Bidding phase. Questions from prospective Bidders shall be collected by the Agency's Procurement Officer during these conferences and Site visits. No questions shall be answered at these events which require interpretation, clarification or modifications of the Contract Documents
11.38 Preconstruction Conference	Agency may conduct a Preconstruction conference after Contract award and before Construction Contractor starts Work at the Project Site. Conference discussion will establish the lines of communication among the parties as to the Work, coordination of Work, and procedures and handling of the Schedule of Values, Shop Drawing and other submittals, Construction Schedule, and Payment Application processing. The Construction Contractor, Construction Contractor's Superintendent, and Construction Contractor's designated safety officer shall attend the Pre-Construction Conference. The Design Professional shall attend if requested by Agency.
11.39 Program and Budget Review	Agency will furnish the Project program to Design Professional at the start of Schematic Design. Design Professional shall evaluate the Project's programmatic requirements, promptly call attention to any discrepancy contained therein, and request direction from the Agency's Designated Representative.
11.40 Project Closeout	Construction Contractor shall submit Project Close Out documents as listed in Exhibit M in appropriate quantities as indicated in the Contract Documents to the Construction Contractor. Construction Contractor shall ensure documents are complete and accurate and provide written acceptance to the Agency. Construction Contractor shall not submit final Application for Payment until documents are accepted by the Agency.
11.41 Proposed Change Order Review	 During performance of Construction Phase Services, the Design Professional shall review Construction Contractor's proposed Change Order(s) for fairness of pricing and make recommendations to the Agency on fairness of pricing for the Materials and Work. Any Construction Contractor proposed Change Order shall include the estimate of Cost and of probable effect of delay on progress of the Work if any.
11.42 Proprietary Specifications	 Under A.R.S. § 34-104, if a Design Professional or the Agency includes bidding, contracting, or purchasing specifications that are proprietary to one supplier, distributor, or manufacturer, then the details of the essential characteristic of that product will be included in the <u>Special Terms and General Conditions</u> with a statement indicating that the Design Professional shall consider alternative products which have the aforementioned desired essential characteristics.

	10.19.20
	2) Design Professional shall consider, and either approve or reject, any and all alternative product proposals that are submitted at least eight (8) days prior to the deadline for receiving bids for this Solicitation. If any alternative product proposal is approved, the Design Professional shall modify the bidding documents to include the alternative product proposal by the end of the fifth day prior to the deadline for receiving bids and publish the documents that same day. If the Design Professional shall provide the Agency with notice of that rejection, including the details of the alternative product proposal, prior to the deadline for receiving bids.
11.43 Quality Assurance	3) No modifications shall be made without the approval of the agency The Design Professional person or firm shall have a well-coordinated internal Quality Assurance program for review of documents, plan check, and incorporates the Agency's drawings and specification requirements to assure consistent submittal to Statutory Review.
11.44 Record Documents	 UPDATING RECORD DOCUMENTS. Design Professional or other party specified by the Agency Designated Representative shall be responsible for updating the Record Documents for all Construction Contractor initiated documents and changes to the Contract Documents due to coordination and actual field conditions, including RFIs. Design Professional shall be responsible for updating the Record Documents for any addenda, Change Orders, Design Professional supplemental instructions and any other alterations to the Contract Documents generated by Design Professional or Agency. MAINTAIN AT SITE. Construction Contractor shall maintain at the Site one copy of all Drawings, Specifications, addenda, approved submittals, Contract modifications, Schedules and all Project correspondence and provide Agency and Design Professional access to these documents for reference and examination. Contractor shall keep current and maintain Drawings and Specifications in good order with postings and markings to record actual conditions of Work and show and reference all changes made during construction and reflect the actual field conditions and representations of the Work performed, whether it be directed by addendum, Change Order or otherwise. MONTHLY UPDATE. Record Documents shall be updated a minimum of monthly prior to submission of a Payment Application or as otherwise directed by Agency. Construction Contractor failure to maintain current Record Documents shall constitute cause for Agency denial of a Progress Payment otherwise due. TRANSFER OF RECORD DOCUMENTS TO AGENCY. Construction Contractor shall furnish a copy of its marked-up Record Documents and a preliminary copy of each instructional manual, maintenance and operating manual, parts catalog, wiring diagrams, spare parts, specified written warranties and like publications, or parts for all installed equipment, systems, and like items and a described in the Contract Documents prior to requesting Substantial Completion inspection wit
11.45 Requirements at Location	 6) PREPARATION OF RECORD DOCUMENTS. Record Documents shall be carefully and neatly prepared by a competent drafter familiar with the Work. 1) Contractor acknowledges that the location of its Work for the Project might be inside an industrial building, institutional building, or one of various office types and classes and Contractor personnel shall conduct themselves cordially and professionally with
	 Agency personnel and the public. 2) When performing the Work requires Construction Contractor personnel to Work inside a secured perimeter at certain institutional facilities such as prisons where prior clearances are required, Construction Contractor shall contact the facility directly to confirm its most-current security clearance procedures, allowable hours for Work, visitor dress code, and other applicable rules. Agency will neither allow extra charges for wait time, comebacks, or the like nor excuse late performance if Construction Contractor has failed to make the confirmation or comply with the applicable conditions. 3) Construction Contractor shall ensure Construction Contractor personnel and Subconsultants and/or Subcontractors performing Work at the Project Site: a) Park in any assigned location at the Site; b) Have proper State or federal issued identification within their possession at all times; c) No eating, drinking, or smoking except in designated areas. d) Construction Contractor shall adequately monitor and control noise levels.

	10.19.20
1912	

11.46 Schedule of Values	Prior to the execution of Work, the Construction Contractor shall submit to the Design Professional and Agency for approval a Schedule of Values (See Exhibit R) allocated to various portions of the Work, prepared in such form and supported by such data to substantiate its accuracy. This Schedule of Values, unless objected to by the Design Professional and/or Agency Designated Representative, shall be used as a basis for reviewing the Construction Contractor's Payment Applications. The Schedule of Values shall include quantities and unit prices aggregating the Contract Cost, and for lump sum items shall subdivide the Work into component parts in sufficient detail to serve as the basis for Progress Payments during construction
11.47 Shop Drawings, Product Data and Samples	 The Construction Contractor shall maintain at the Site for the Agency one record copy of the Drawings, Specifications, addenda, Change Orders and other Modifications, in good order and marked currently to record changes and selections made during construction, and in addition approved Shop Drawings, Product Data, Samples and similar required submittals. These shall be available to the Design Professional and/or Agency and shall be delivered to the Design Professional for submittal to the Agency upon completion of the Work.
	2) Shop Drawings, Product Data, Samples and similar submittals are not Contract Documents. The purpose of their submittal is to demonstrate for those portions of the Work for which submittals are required the way the Construction Contractor proposes to conform to the information given and the design concept expressed in the Contract.
	3) The Construction Contractor shall perform no portion of the Work requiring submittal and review of Shop Drawings, Product Data, Samples or similar submittals until the respective submittal has been reviewed and approved or other appropriate action taken by the Design Professional and/or Agency. Such Work shall be in accordance with approved submittals.
	4) The Construction Contractor shall not be relieved of responsibility for deviations from requirements of the Contract by the Design Professional's and/or Agency's review and approval of Shop Drawings, Product Data, Samples or similar submittals unless the Construction Contractor has specifically informed the Design Professional and/or Agency's in writing of such deviation at the time of submittal and the Design Professional and/or Agency has given written approval to the specific deviation. The Construction Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples or similar submittals by the Design
	 Professional's and/or Agency's approval thereof. 5) The Construction Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, product Data, Samples or similar submittals, to revisions other than those requested by the Design Professional on previous submittals.
	6) When professional certification of performance criteria of Materials, systems or equipment is required by the Contract, the Construction Contractor shall be entitled to rely upon the accuracy and completeness of such calculations and certifications but
	 shall use due care and identify and notify the Agency of any palpable errors. Construction Contractor shall submit Shop Drawings to the Design Professional and State Fire Marshall or appropriate authority having jurisdiction for any required modifications to sprinkler or alarm system Work.
	 Construction Contractor will update to show actual conditions for Work specified and shall submit final Shop Drawings to Agency.
11.48 Special Inspections and Testing	shall submit final Shop Drawings to Agency. The Design Professional shall recommend special inspection or testing and any retesting of the Work in accordance with the provisions of the Contract Documents if, in Design Professional's reasonable opinion, such inspection or testing or retesting is necessary or advisable for the implementation of the Contract Documents, regardless of the state of completion of the Work subject to such inspection or testing or
	retesting.
11.49 Specification Submittal Requirements; Design Professional	 Specifications shall be in CSI format. Each specification section shall be saved as a document file named with the corresponding Master format number (e.g., 134010.doc). Design Preference and chall submit a list of each item of equipment and/or each system
	 2) Design Professional shall submit a list of each item of equipment and/or each system to be designated as sole source by the notation in the documents, "or equivalent (no known equivalent)". This list shall include the following information: a) Description of each item of equipment and/or each system;

Uniform Terms and Conditions Design Professional Page 45 of 52

	10.19.20
	 b) Provide estimated Cost of each item of equipment and/or each system; Agency Procurement Officer shall write determination justification as to why each item of equipment and/or each system needs to be from a sole brand name or source. c) Include brief performance specifications detailing those features which, because they are unique or state-of-the-art, or the preclude use of an alternative product. 3) Specifications shall be: a) Complete, coordinated and consistent with each other and the drawings. b) Coordinated with the Agency's General Conditions and Requirements. c) Written for a two-party Contract between the Agency and the Contractor. d) Written with open specifications for material and equipment except in specifically permitted exceptions in conjunction with Agency Procurement Officer's approved written determination of sole brand name or source.
11.50 Statutory Review	 The Design Professional shall design and prepare the Construction Documents in compliance with all applicable laws, codes, regulations, and generally accepted engineering and design standards, and shall incorporate any and all Agency Standards where applicable to their Work. The Design Professional shall submit final Construction Documents for review to the Agency Designated Representative for submittal to the Statutory Review. Design Professional shall verify presentation requirements for the review with the Agency's Designated Representative. The Arizona Department of Administration Statutory Review is the authority having jurisdiction and is the enforcement agency for code requirements. The initial submission fee and one (1) re-review fee for submission to the Statutory Review may be paid by the Agency or paid by Design Professional firm a part of a Reimbursable Expense as requested by the Agency. Any subsequent submission fees shall be paid by the Design Professional firm in accordance with the Solicitation Performance Guarantee requirements. Design Professional shall give Agency sufficient rights and privileges to use to any and all Design Professional Work in furtherance of the Scope of Work including, but not limited to, distribution and submission of Design Professional Work A State Inspector will conduct regular inspections and the Work must be in compliance before permanent occupancy will be allowed. Regular inspections must be performed at each stage of construction prior to concealment or cover.
11.51 Structural, Mechanical, Electrical, Calculations	Design Professional shall provide Agency the Project structural, mechanical, and electrical calculations upon request. Calculations shall be checked and stamped by an engineer registered in the applicable discipline.
11.52 Submittal Register	The Construction Contractor shall prepare and keep current, for the Design Professional's approval, a schedule of submittals which is coordinated with the Construction Contractor's Schedule and allows the Design Professional reasonable time to review Submittals.
11.53 Substantial and Final Completion	 Ime to review Submittals. When the Construction Contractor considers that the Work, or a portion thereof, is substantially complete, the Construction Contractor shall prepare and submit to the Agency and/or Design Professional a comprehensive list of items (Punch List) to be completed or corrected prior to final payment. Failure to include an item on the Punch List does not alter the responsibility of the Construction Contractor to complete all Work in accordance with the Contract Documents. The Agency and/or Design Professional will perform an inspection of the Work within five (5) days to accept or reject the Punch List to determine whether the Work or designated portion thereof is substantially complete. If the Agency and/or Design Professional's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents, the Contractor shall complete and or correct those items and then submit a request to the Owner and Design Professional for another inspection to determine Substantial Completion of the Work. EXHIBIT K – SUBSTANTIAL COMPLETION CERTIFICATE. When the Work, or designated portion thereof, is Substantial Complete, the Design Professional and/or Owner will prepare a Certificate of Substantial Completion (Certificate) that shall establish the Substantial Completion date, establish responsibilities of the Owner and Contractor to include, but not limited to: security, maintenance, heat, air conditioning, utilities, damage to the Work and insurance. The Certificate will establish the date

Uniform Terms and Conditions Design Professional Page 46 of 52

	10.19.20
	Substantial Completion of the Work, unless otherwise provided in the Cartificate of
	 Substantial Completion of the Work, unless otherwise provided in the Certificate of Substantial Completion. 4) Construction Contractor shall submit an Exhibit H-Application for Payment for payment of all Work, less retention if retention was held. If retention was not held, final payment will not be issued until all items listed on Exhibit M-Contractor Project Closeout have been received by the Agency. 5) PARTIAL OCCUPANCY. The Agency may occupy or use a portion of the Project prior to Contract completion if the authority having jurisdiction over the Project authorizes the Agency to occupy the portion of the Project. The Construction Contractor shall proceed with submission to the Agency for a Substantial Completion inspection for the portion of the Work Project prior to the Agency occupying or using a portion of the Project. 6) EXHIBIT L – FINAL COMPLETION CERTIFICATE. The date of Final Completion of the Contractor's Work is the date signed by the Design Professional, Contractor, Compliance Officer and Project Manager that all Work is 100% complete in accordance with the Contract Documents including all those items listed on Exhibit M-Contractor Project Closeout. The Construction Contractor will submit an
	Application for Final Payment for certification by the Agency.
11.54 Substitution of Material or Equipment	 Construction Contractor shall not order or install any substitute Material or equipment without the Agency Designated Representative prior written approval of the substitute. If Contract is a firm fixed price, all substitution requests shall be submitted by the Construction Contractor in accordance with the <u>Solicitation Instructions to Offerors</u> and approved by the Agency Designated Representative prior to the Bid Opening Date listed in the State e-procurement system. Prior to the Bid Opening Date, the Agency Procurement Officer issued Addenda authorizing use of the substitute shall
	 serve as the written approval. 3) Agency Designated Representative shall review all Construction Contractor furnished data for review to include maintenance, repair, and replacement for the proposed substitute. 4) The Agency may not approve any extension of Contract time for Construction Contractor Project completion due to a substitute unless Agency determines the time extension is in the best interest of the Agency.
11.55 Supervision and Project Administration	 The Construction Contractor shall not be relieved of obligations to perform the Work in accordance with the Contract either by activities or duties of the Agency in the Agency's administration of the Contract, or by tests, inspections or approvals required or performed by persons other than the Construction Contractor. The Construction Contractor shall not be relieved of obligations to perform the Work in accordance with the Contract either by activities or duties of the Agency in the Agency's administration of the Contract, or by tests, inspections or approvals required or performed by persons other than the Construction Contractor. The Construction Contractor shall supervise and direct the Work using the Construction Contractor shall provide project administration for all Construction Contractor's Subcontractor and/or Subconsultants, vendors, suppliers, and others involved in implementing the Work and shall coordinate administration efforts with those of the Construction Contractor and Agency Designated Representative. Construction Contractor's project administration includes periodic daily reporting on weather, Work progress, labor, Materials, equipment, any and all obstructions to prosecution of the Work, accidents and injuries, and transmitted no less frequently to the Agency Designated Representative than on a weekly basis. The Construction Contractor shall be responsible to the Agency for acts and omissions of the Construction Contractor's employees, Subcontractor and/or Subconsultants and their agents and employees, and other persons performing portions of the Work for the Construction Contractor's employees, Subcontractor and/or Subconsultants and their agents and employees, and other persons performing portions of the Work for the Construction Contractor.
11.56 Transition	If needed, during commencement, Construction Contractor shall attend transition meetings with any outgoing Construction Contractors to coordinate and ease the transition so that the effect on Agency's operations is kept to a minimum. Agency may elect to have outgoing Construction Contractors complete some or all of their Work or orders in progress to ease the transition as is safest and most efficient in each instance, even if that scope is covered under the Contract. Upon the expiration or termination of the Contract, Construction Contractor shall Work closely with any new (incoming) Construction

	10.19.20
	Contractor and Agency to ensure as smooth and complete a transfer as is practicable. Agency's representative shall coordinate all transition activities and facilitate joint development of a comprehensive transition plan by both Construction Contractor and the incoming vendor. As with the incoming transition.
11.57 Unknown, Unforeseen, or Concealed Conditions	 If conditions are encountered at the Site which are (a) subsurface or otherwise concealed physical conditions which differ materially from those indicated in the Contract Documents or (b) unknown physical conditions of an unusual nature, which differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, then written notice by the observing party shall be given to the other party promptly before conditions are disturbed and in no event later than twenty four hours after first observance of the conditions. It is understood that Construction Contractor, prior to award, has inspected the Site, was free to do its own tests and inspections, and in undertaking this contract, warrants that the Site is suitable for construction Contractor prior to construction are for informational purposes only. However, if Construction Contractor believes that the Agency materially misrepresented the condition of the Site then the Agency will promptly investigate such conditions. Should the Agency determine that the Site differs from the Contract Cost and/or Schedule for the performance of any part of the Work, then the Agency will recommend an equitable adjustment in the Contract Cost and/or Contractor's Cost or Schedule is justified, then the Agency shall so notify the Construction Contractor in writing stating the reasons.
11.58 Use of Seals; Design Professionals	"Seal" refers to the type of "seal" required by A.R.S. § 32-101, <i>et seq.</i> , and the rules promulgated thereunder, A.A.C. R4-30-01, et seq., or any equivalent licensing body, for use on Work performed by Contractors. Contractor shall affix its Seal to any and all documents required by the Work that are incomplete without such a Seal including, but not limited to, design specifications, data, and construction drawings. Contractor will accept professional responsibility for its Work including, but not limited to, any and all information upon which its Seal is affixed.
11.59 Work Performance; Design Professional	The Design Professional will perform Work under this Contract with the degree of skill and care ordinarily provided by competent professional engineers, architects, or consultants practicing in the same or similar locality and under the same or similar circumstances and professional license and as expeditiously as is prudent, considering the ordinary professional skill and care of a competent engineer, architect, or other consultant.
12.0 Agency Responsibi	
12.1 Access to Work Site	The Agency will provide Design Professional with access to the Work Site, as necessary, for the preparation for, and review of, the Work.
12.2 Accuracy of Information	Agency may provide in the <u>Solicitation</u> , or by other means, any reports of investigations and tests of subsurface and latent physical conditions at the Site, and any reports of conditions that otherwise may affect Cost. These reports are not intended to constitute any explicit or implicit representation as to the nature of the subsurface and latent physical conditions which may be encountered at the Site or to constitute explicit or implicit representations as to any other matter contained in any report. Such reports are not guaranteed as to accuracy or completeness and are not part of the Contract Documents.
12.3 Budget	The Agency may create a budget for the Work. If applicable, the Agency may update the budget, as needed. The budget may include the Estimated Contract Cost, Agency's other Costs for the Project, Contingencies, and Reimbursable Expenses.
12.4 Errors or Omissions	The Agency will promptly notify the Contractor in writing if it becomes aware of a material error, potential error, or omission in the Work, Design Requirements, and/or Construction Documents.

	10.19.20
12.5 Site Information	The Agency will provide the Design Professional with basic information regarding the Site locations at which the Work will be performed. This basic information may include surveys, Site evaluations, legal descriptions, existing conditions, subsurface and environmental studies, reports and investigations.
12.6 Geotechnical Engineers	If applicable, the Agency will provide geotechnical expertise it deems sufficient to support the Work, including but not limited to, the necessary soil testing, written reports, and recommendations.
12.7 Testing	The Agency will provide legally or contractually required environmental testing including
12.8 Communications	tests for hazardous materials, and air and water pollution. The Agency will facilitate communication between Design Professional and Construction Contractor, as needed, on the Work necessary to fully perform the Contract, unless direct communication between Design Professional and Construction Contractor has been explicitly authorized.
12.9 Design Professional	The Agency will meet with the Design Professional to coordinate its Work prior to
Agreements, Related Construction	finalizing a related Construction Contract. Any related Construction Contracts will be made available to the Design Professional through the State's eProcurement system.
12.10 Promotional Materials	Agency will endeavor to timely review and respond to any written Design Professional request for permission for use of photographic or other artistic representation of the Work for promotional or other professional materials. Agency shall have full discretion to determine whether to allow Design Professional use of the representations.
12.11 Substitutions of Material	Design Professional and/or Agency shall approve any and all substitutions that would cause a change in the Work of the Construction Contractor.
12.12 Timely Review	The Agency will endeavor to timely review and respond to any requests from the Construction Contractor that the Construction Contractor deems necessary to avoid delay or modification to the Schedule.
13.0 Data and Information	on Handling
13.1 Applicability	Article 13 applies to the extent the Work includes handling of any (a) Agency's proprietary and sensitive data or (b) confidential or access-restricted information obtained from Agency or from others at Agency's behest.
13.2 Data Protection and Confidentiality of Information	 Design Professional warrants that it will establish and maintain procedures and controls acceptable to Agency for ensuring that Agency's proprietary and sensitive data is protected from unauthorized access and information obtained from Agency or others in performance of its contractual duties is not mishandled, misused, or inappropriately released or disclosed. For purposes of this paragraph, all data created by Design Professional in any way related to the Contract, provided to Design Professional by Agency, or prepared by others for Agency are proprietary to Agency, and all information by those same avenues is Agency's confidential information. To comply with the foregoing warrant: a) Design Professional shall: i) Notify Agency immediately of any unauthorized access or inappropriate disclosures, whether stemming from an external security breach, internal breach, system failure, or procedural lapse; ii) Cooperate with Agency to identify the source or cause of and respond to each unauthorized access or inappropriate disclosure; and iii) Notify Agency promptly of any security threat that could result in unauthorized access or inappropriate disclosures. b) Design Professional shall not: i) Release any such data or allow it to be released or divulge any such information to anyone other than its employees or officers as needed for each person's individual performance of his or her duties under the Contract, unless Agency has agreed otherwise in advance and in writing; or
13.3 Personally Identifiable Information	representative. 1) Design Professional warrants that it will protect any personally identifiable
L	information ("PII") belonging to Agency's employees' or other Design Professionals

	10.19.20
	 or members of the general public that it receives from Agency or otherwise acquires in its performance under the Contract. 2) For purposes of this paragraph: a) PII has the meaning given in the [federal] Office of Management and Budget (OMB) Memorandum M-07-16 Safeguarding Against and Responding to the Breach of Personally Identifiable Information; and b) "Protect" means taking measures to safeguard personally identifiable information and prevent its breach that are functionally equivalent to those called for in that OMB memorandum and elaborated on in the [federal] General Services Administration (GSA) <i>Directive CIO P</i> 2180.1 GSA Rules of Behavior for Handling Personally Identifiable Information.
	 NOTE (1): For convenience of reference only, the OMB memorandum is available at: <u>https://www.whitehouse.gov/sites/default/files/omb/memoranda/fy2007/m07-16.pdf</u> NOTE (2): For convenience of reference only, the GSA directive is available at: <u>http://www.gsa.gov/portal/directive/d0/content/658222</u>
13.4 Protected Health Information	 Design Professional warrants that, to the extent performance under the Contract involves individually identifiable health information (referred to hereinafter as protected health information ("PHI") and electronic PHI ("ePHI") as defined in the Privacy Rule referred to below), it: a) Is familiar with and will comply with the applicable aspects of the following collective regulatory requirements regarding patient information privacy protection: (1) the "Privacy Rule" in CFR 45 Part 160 and Part 164 pursuant to the Health Insurance Portability and Accountability Act ("HIPAA") of 1996; (2) Arizona laws, rules, and regulations applicable to PHI/ePHI that are not preempted by CFR 45-160(B) or the Employee Retirement Income Security Act of 1974 ("ERISA") as amended; and (3) Agency's current and published PHI/ePHI privacy and security policies and procedures; b) Will cooperate with Agency in the course of performing under the Contract so that both Agency and Contractor stay in compliance with the requirements in (<i>a</i>) above; and c) Will sign any documents that are reasonably necessary to keep both Agency and Contractor in compliance with the requirements in (<i>a</i>) above, in particular "Business Associate Agreements" in accordance with the Privacy Rule. NOTE: For convenience of reference only, the Privacy Rule is available at: http://www.hhs.gov/hipaa/for-professionals/privacy/index.html
14.0 Information Technolog	gy Work
14.1 Applicability	Article 14 applies to any Invitation for Bids, Request for Qualifications, or Request for Quotations for "Information Technology," as defined In A.R.S. § 41-3501(6) 6: "computerized and auxiliary automated information processing, telecommunications and related technology, including hardware, software, vendor support and related services, equipment and projects" if and to the extent that the Work is or includes Information Technology.
14.2 Information Access	 SYSTEM MEASURES. Design Professional shall employ appropriate system management and maintenance, fraud prevention and detection, and encryption application and tools to any systems or networks containing or transmitting Agency's proprietary data or confidential information. INDIVIDUAL MEASURES. Design Professional personnel shall comply with applicable Agency policies and procedures regarding data access, privacy, and security, including prohibitions on remote access and obtaining and maintaining access IDs and passwords. Design Professional is responsible to Agency for ensuring that any State access IDs and passwords are used only by the person to whom they were issued. Design Professional shall ensure that personnel are only provided the minimum only such level of access necessary to perform his or duties. Design Professional shall on request provide a current register of the access IDs

Uniform Terms and Conditions Design Professional Page 50 of 52

	10.19.20
	 and passwords and corresponding access levels currently assigned to its personnel. 3) ACCESS CONTROL. Design Professional is responsible to Agency for ensuring that hardware, software, data, information, and that has been provided by Agency or belongs to or is in the custody of Agency and is accessed or accessible by Design Professional personnel is only used in connection with carrying out the Work, and is never commercially exploited in any manner whatsoever not expressly permitted under the Contract. Agency may restrict access by Construction Contractor personnel, or instruct Design Professional to restrict access their access, if in its determination the requirements of this subparagraph are not being met.
14.3 Pass-Through Indemnity	 INDEMNITY FROM THIRD PARTY. For computer hardware or software included in the Work as discrete units that were manufactured or developed solely by a third party, Design Professional may satisfy its indemnification obligations under the Contract by, to the extent permissible by law, passing through to Agency such indemnity as it receives from the third- party source (each a "Pass-Through Indemnity") and cooperating with Agency in enforcing that indemnity. If the third party fails to honor its Pass-Through Indemnity, or if a Pass- Through Indemnity is insufficient to indemnify Agency Indemnitees to the extent and degree Design Professional is required to do by the Uniform Terms and Conditions, then Design Professional shall indemnify, defend and hold harmless Agency Indemnitees to the extent the Pass-Through Indemnity does not. NOTIFY OF CLAIMS. Agency shall notify Design Professional promptly of any claim to which a Pass-Through Indemnity might apply. Design Professional, with reasonable consultation from Agency, shall control of the defense of any action on any claim to which a Pass-Through Indemnity applies, including negotiations for settlement or compromise, provided that: Agency reserves the right to elect to participate in the action at its own expense; Agency reserves the right to approve or reject any settlement or compromise on reasonable grounds and if done so timely; and Agency shall in any case cooperate in the defense and any related settlement negotiations.
14.4 Redress of Infringement.	 REPLACE, LICENSE, OR MODIFY. If Construction Contractor becomes aware that any Materials or Services infringe, or are likely to be infringing on, any third party's intellectual property rights, then Construction Contractor shall at its sole Cost and expense and in consultation with Agency either: a) Replace any infringing items with non-infringing ones; b) Obtain for Agency the right to continue using the infringing items; or c) Modify the infringing item so that they become non-infringing, so long as they continue to function as specified following the modification. CANCELLATION OPTION. In every case under 14.4, if none of those options can reasonably be accomplished, or if the continued use of the infringing items is impracticable, Agency may cancel the relevant Order or terminate the Contract and Construction Contractor shall take back the infringing items. If Agency does cancel the Order or terminate the Contract, Construction Contractor shall refund to Agency: a) For any software created for Agency under the Contract, the amount Agency paid to Contractor for creating it; b) For all other Materials, the net book value of the product or actual monies paid by the Agency provided according to generally accepted accounting principles; and c) For Services, the amount paid by Agency or an amount equal to twelve (12) months of charges, whichever is less. EXCEPTIONS. Contractor will not be liable for any claim of infringement based solely on any of the following by a State Indemnitee: a) Modification or use of Materials other than as contemplated by the Contract or expressly authorized or proposed by a Contractor Indemnitor; b) Operation of Materials with any operating software other than that supplied by Contractor or authorized or prop

	10.19.20
	 c) Combination or use with other products in a manner not contemplated by the Contract or expressly authorized or proposed by a Contractor Indemnitor.
14.5 First Party Liability Limitation	 LIMIT. Subject to the provisos that follow below and unless stated otherwise in the <u>Special Terms and General Conditions</u>, Agency's first party liability arising from or related to the Contract is limited to the lesser of \$1,000,000 (one million dollars) or 3 (three) times the purchase price of the specific Materials or Services giving rise to the claim. PROVISONS. This paragraph limits liability of any and all damages to which Contractor is entitled under this Contract for contract breaches or that comes out of performance or concerns this contract, regardless of the legal theory under which the liability is asserted. This paragraph 14.5 does not the effect of paragraph 6.2 of this Contract: PURCHASE PRICE DETERMINATION. If the Contract is for a single-agency and a single Order (or if no Order applies), then "purchase price" in Subparagraph 14.6.1 above means the aggregate Contract price current at the time of Contract Amendment having an effect on the aggregate price through that date. In all other cases, "purchase price" above means the total price of the Order for the specific equipment, software, or services giving rise to the claim, and therefore a separate limit will apply to each Order. NO EFFECT ON INSURANCE. This paragraph does not modify the required coverage limits, terms, and conditions of, or any insured's ability to claim against, any insurance that Design Professional is required by the Contract to provide, and Design Professional shall obtain express endorsements that it does not.
14.6 Information Technology Warranty	 SYSTEM MEASURES. Design Professional shall employ appropriate system management and maintenance, fraud prevention and detection, and encryption application and tools to any systems or networks containing or transmitting Agency's proprietary data or confidential information. INDIVIDUAL MEASURES. Design Professional personnel shall comply with applicable Agency policies and procedures regarding data access, privacy, and security, including prohibitions on remote access and obtaining and maintaining access IDs and passwords. Design Professional is responsible to Agency for ensuring that any State access IDs and passwords are used only by the person to whom they were issued. Design Professional shall ensure that personnel are only provided the minimum only such level of access necessary to perform his or duties. Design Professional shall on request provide a current register of the access IDs and passwords and corresponding access levels currently assigned to its personnel. ACCESS CONTROL. Design Professional is responsible to Agency for ensuring that hardware, software, data, information, and that has been provided by Agency or belongs to or is in the custody of Agency and is accessed or accessible by Design Professional personnel is only used in connection with carrying out the Work, and is never commercially exploited in any manner whatsoever not expressly permitted under the Contract. Agency may restrict access their access, if in its determination the requirements of this subparagraph are not being met.
14.7 Specific Remedies	Unless expressly stated otherwise elsewhere in the Contract, Agency's remedy for breach of warranty under this Contract includes, at Agency's discretion, re-performance, repair, replacement, or refund of any amounts paid by Agency for the nonconforming Work, plus (in every case) Design Professional's payment of Agency's additional, documented, and

(in every case) Design Professional's payment of Agency's additional, documented, and reasonable Costs to procure Materials or services equivalent in function, capability, and performance at that first called for. For clarification of intent, the foregoing obligations are limited by the First Party Liability Limitation in paragraph 14.5. If none of the forgoing options can reasonably be effected, or if the use of the Materials by Agency is made impractical by the nonconformance, then Agency may seek any remedy available to it under law.

End of Uniform Terms and Conditions